

**1 Turbulent boundary layers under irregular waves and**  
**2 currents: experiments and the equivalent-wave**  
**3 concept**

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**Key Points.**

- A full-scale experimental study of boundary layer flows under irregular waves and currents.
- PIV measurement of boundary layer flows.
- Irregular waves can be represented by an equivalent periodic wave.

4 **Abstract.** A full-scale experimental study of turbulent boundary layer  
5 flows under irregular waves and currents is conducted with the primary ob-  
6 jective to investigate the equivalent-wave concept by *Madsen* [1994]. Irreg-  
7 ular oscillatory flows following the bottom-velocity spectrum under realis-  
8 tic surface irregular waves are produced over two fixed rough bottoms in an  
9 oscillatory water tunnel, and flow velocities are measured using a Particle  
10 Image Velocimetry. The root-mean-square (RMS) value and representative  
11 phase lead of wave velocities have vertical variations very similar to those  
12 of the first-harmonic velocity of periodic wave boundary layers, e.g. the RMS  
13 wave velocity follows a logarithmic distribution controlled by the physical  
14 bottom roughness in the very near-bottom region. The RMS wave bottom  
15 shear stress and the associated representative phase lead can be accurately  
16 predicted using the equivalent-wave approach. The spectra of wave bottom  
17 shear stress and boundary layer velocity are found to be proportional to the  
18 spectrum of free-stream velocity. Currents in the presence of irregular waves  
19 exhibit the classic two-log-profile structure with the lower log-profile controlled  
20 by the physical bottom roughness and the upper log-profile controlled by a  
21 much larger apparent roughness. Replacing the irregular waves by their equiv-  
22 alent sinusoidal waves virtually makes no difference for the co-existing cur-

23 rents. These observations, together with the excellent agreement between mea-  
24 surements and model predictions, suggest that the equivalent-wave repre-  
25 sentation adequately characterizes the basic wave-current interaction under  
26 irregular waves.

## 1. Introduction

27 In the coastal environment co-existing waves and currents nonlinearly interact with  
28 each other near the seabed under turbulent flow conditions, leading to a turbulent wave-  
29 current boundary layer (WCBL). A good understanding of WCBL is the prerequisite  
30 for modeling coastal sediment transport, as it directly determines bottom shear stress  
31 for bedload transport and near-bottom flow velocity carrying suspended-load transport.  
32 Therefore, WCBL has been extensively studied in the past decades.

33 Wave boundary layers, even under extreme wave conditions, are usually very thin (a few  
34 centimeters) due to the limited time scale (a wave period) for boundary layer development,  
35 while current boundary layers can extend over the entire water depth (several meters).  
36 Thus, a WCBL is controlled by turbulence produced by both waves and currents within  
37 the wave boundary layer, but only current-produced turbulence outside the wave boundary  
38 layer. Following this concept, the widely-used *Grant and Madsen* [1979] model (GM model  
39 hereafter) adopts a bi-linear time-invariant turbulent eddy viscosity for modeling the  
40 Reynolds stress in the linearized horizontal momentum equation, i.e. the turbulent eddy  
41 viscosity is scaled with the combined maximum shear velocity within the wave boundary  
42 layer, but is scaled with the current shear velocity outside the wave boundary layer. Their  
43 analytical solution of the current velocity profile suggests that currents are significantly  
44 retarded by co-existing waves, which can be conceptualized by a large apparent roughness  
45 experienced by currents. This mechanism is supported by many field [e.g. *Drake and*  
46 *Cacchione*, 1992] and laboratory [e.g. *Mathisen and Madsen*, 1996a, b] observations, and  
47 we hereafter identify it as the basic wave-current interaction.

48 Waves can also modify currents by producing boundary layer streamings through two  
49 mechanisms. The boundary layer flow under progressive surface waves will have certain  
50 spatial inhomogeneity in the wave direction, which leads to a small vertical velocity within  
51 the bottom boundary layer. The vertical and horizontal velocities are not completely  $90^\circ$   
52 out of phase, so the convective terms in the horizontal momentum equation have non-zero  
53 period-averaged values, leading to a mean current (progressive wave streaming). *Longuet-*  
54 *Higgins* [1953] first analytically explained this phenomenon for laminar flows and later  
55 extended his analysis for turbulent flows in the appendix to *Russell and Osorio* [1958].  
56 He showed that the progressive wave streaming is always in the wave direction. Another  
57 mechanism for wave boundary layer streaming is associated with wave nonlinearities (here-  
58 after referred to as turbulence asymmetry streaming). The bottom wave orbital velocity  
59 under nonlinear surface waves exhibits some asymmetric features between successive half-  
60 periods [e.g. *Berni et al.*, 2013], which is often characterized by velocity-skewness and  
61 acceleration-skewness. Thus, the temporal variation of turbulence characteristics within  
62 the two half-periods are not symmetric, which can be modeled with a time-varying turbu-  
63 lent eddy viscosity. Following this concept, *Trowbridge and Madsen* [1984a, b] analytically  
64 showed that a boundary layer streaming in the opposite direction of wave propagation  
65 can be produced by turbulence asymmetry, which was first observed in the oscillatory-  
66 water-tunnel experiments by *Ribberink and Al-Salem* [1995]. In recent years, numerical  
67 models has been applied to study the co-existence of the two kinds of wave boundary  
68 layer streaming [e.g. *Holmedal and Myrhaug*, 2009; *Kranenburg et al.*, 2012; *Blondeaux*  
69 *et al.*, 2012]. The general conclusion is that the relative importance of one streaming  
70 over the other depends on the shallowness of the water and also bottom roughness. *Yuan*

71 *and Madsen* [2015] (YM15 hereafter) reported experiments of currents in the presence of  
72 asymmetry oscillatory flows, and showed that the turbulence asymmetry streaming has  
73 a pronounced effect on currents, which can even invalidate the basic wave-current inter-  
74 action proposed by the GM model. *Holmedal et al.* [2013] and *Afzal et al.* [2015], among  
75 others, numerically showed that the effects of both progressive and turbulent asymmetry  
76 streamings on wave-current interactions can be very significant.

77 Most existing studies on turbulent WCBL are based on regular (or periodic) waves,  
78 while in reality we always have irregular waves in the coastal environment. Except for  
79 some numerical studies, e.g. *Holmedal et al.* [2003] and *Tanaka and Samad* [2006], which  
80 can directly model the boundary layer flow under irregular waves in the time domain,  
81 the vast majority of theoretical works adopt two general approaches to describe the wave  
82 irregularity: probabilistic and spectral approaches.

83 For the probabilistic approach, irregular waves are treated as a package of independent  
84 periodic waves following a specified probability distribution, e.g. *Dally* [1992], *Grasmeijer*  
85 *and Ruessink* [2003] and *Yuan and Madsen* [2010]. Therefore, the existing models for  
86 periodic WCBL can be directly applied for individual periodic waves to obtain the prob-  
87 ability distributions for certain variables of interest, e.g. maximum wave bottom shear  
88 stress [*Myrhaug et al.*, 2001], or some deterministic physical quantities through proba-  
89 bilistically averaging, e.g. current velocity [*Yuan and Madsen*, 2010]. The fundamental  
90 drawback of this approach is that some physical processes, e.g. boundary layer streaming,  
91 may not have immediate response to the change of wave conditions, so it is questionable  
92 to assume that individual waves are totally independent.

93 The spectral approach describes irregular waves by a directional wave energy spectrum.  
94 Among similar studies, the spectral wave-current boundary layer model developed by  
95 *Madsen* [1994] (hereafter M94) is the widely-used for analyzing field data, e.g. *Nayak*  
96 *et al.* [2015]. In this model, the bottom wave orbital velocity is described by a directional  
97 velocity spectrum, which can be discretized into a set of infinitesimal wave components.  
98 By modeling the Reynolds stress with the bi-linear time-invariant turbulent eddy viscos-  
99 ity proposed by *Grant and Madsen* [1979], the boundary layer equations for each wave  
100 component and the current are completely linear and can be analytically solved. The  
101 most important finding is that a representative sinusoidal wave can be used to represent  
102 the irregular waves in modeling basic wave-current interaction. Therefore, the GM model  
103 for periodic WCBL is easily extended to irregular-wave scenarios. *Holmedal et al.* [2003]  
104 “indirectly” validated the concept of equivalent wave through numerical experiments, but  
105 so far there is no “direct” validations possibly due to the lack of suitable measurements.  
106 Very few detailed laboratory investigations on irregular WCBL are reported in public  
107 literatures. *Mathisen and Madsen* [1999] conducted experiments of irregular waves with  
108 or without collinear currents over a fixed rippled bed in a small-scale wave flume. Their  
109 measurements of current velocity profiles suggest that the basic wave-current interaction  
110 indeed can be predicted with the equivalent-wave approach proposed by M94 with some  
111 minor modifications, and a single bottom roughness controls waves (periodic or irregular)  
112 and currents over a fixed rough bottom configuration. However, their experiments are  
113 with very large bottom roughness to ensure turbulent flow conditions, which is outside  
114 the M94’s range of applicability. *Klopman* [1994] reported an wave-flume study similar to  
115 *Mathisen and Madsen* [1996a, b, 1999] but with smaller fixed bottom roughness elements.

116 Their results showed that random waves significantly retard the currents within the wave  
117 boundary layer. *Simons et al.* [1994] reported shear-plate measurement of bottom shear  
118 stresses under irregular waves with or without currents in a wave basin. A common  
119 problem among most experimental studies is that they do not correspond to full-scale  
120 flow conditions. A wave boundary layer, which can induce noticeable amounts of sediment  
121 transport, usually has a near-bottom wave orbital velocity amplitude  $U_b$  of the order 1  
122 m/s, or a Reynolds number  $Re = A_b U_b / \nu$  up to  $O(10^5 \sim 10^6)$ , where  $A_b$  is the excursion  
123 amplitude and  $\nu$  is the water kinematic viscosity. Small-scale laboratory wave flumes or  
124 wave basins can only achieve a Reynolds number of  $O(10^3 \sim 10^4)$ . Therefore, another  
125 type of facility, Oscillatory Water Tunnel (OWT), is designed for full-scale simulation of  
126 boundary layer flows under surface waves. These facilities are essentially U-shaped tunnels  
127 with a piston driving oscillatory flows over the entire facility, so very high Reynolds number  
128 can be easily achieved. For some OWTs, a current circulation system can superimpose a  
129 collinear current on the oscillatory flows to produce WCBL flows. The major disadvantage  
130 of OWTs is that the generated oscillatory flows are approximations of the actual wave  
131 boundary layers due to the absence of vertical velocity component, so certain physics are  
132 excluded, e.g. progressive wave streaming. Nevertheless, OWT experiments still have high  
133 research values, as the dominant physics are still captured. To the author's knowledge, no  
134 OWT experiment with detailed flow measurements of irregular WCBLs has been reported  
135 in public literatures.

136 This paper presents a full-scale OWT experimental study of turbulent boundary layer  
137 flows under irregular waves and currents over rough bottoms with well-known bottom  
138 roughness. The primary objective is to validate whether the basic wave-current interaction



139 under irregular waves follows the equivalent-wave concept proposed by M94. The paper  
 140 begins with a brief review of the M94 model, which is taken as the theoretical foundation  
 141 for this study, in section 2. The experimental conditions are presented in section 3.  
 142 Section 4 presents experimental results on wave velocities and wave bottom shear stress,  
 143 and section 5 discusses the measurements on current velocity profiles. Conclusions and  
 144 some discussions are given in section 6.

## 2. Madsen (1994) model for spectral wave-current boundary layer

145 Since the currents and oscillatory flows in this study are always collinear due to the  
 146 limitation of facility, the collinear version of the M94 model is briefly reviewed here for  
 147 later reference, and the reader is referred to M94 for waves and currents at an angle. The  
 148 governing equation for this model is the linearized boundary layer equation:

$$149 \quad \frac{\partial u}{\partial t} = -\frac{\partial}{\partial x} \left( \frac{p}{\rho} \right) + \frac{\partial}{\partial z} \left( \nu_T \frac{\partial u}{\partial z} \right) \quad (1)$$

150 where  $u$  is horizontal velocity,  $t$  is time,  $p$  is pressure,  $\rho$  is water density,  $(x, z)$  are horizontal  
 151 and vertical coordinates, and  $\nu_T$  is the turbulent eddy viscosity. Following the argument  
 152 for basic wave-current interaction, a time-invariant  $\nu_T$  is proposed with the following  
 153 bi-linear vertical structure:

$$154 \quad \nu_T = \begin{cases} \kappa u_{*cw} z, & z < \delta_{cw} \\ \kappa u_{*c} z, & z \geq \delta_{cw} \end{cases} \quad (2)$$

155 where  $\kappa$  is the von Karman constant,  $\delta_{cw}$  is the transition level,  $u_{*c} = \sqrt{\tau_{cb}/\rho}$  is the  
 156 current shear velocity with  $\tau_{cb}$  being the current bottom shear stress, and  $u_{*cw}$  is an a  
 157 priori unknown wave-current shear velocity which reflects the combined wave-current flow  
 158 inside the wave boundary layer. Separating velocity and pressure into their mean and  
 159 time-varying components, i.e.:  $u = u_c + u_w$  and  $p = p_c + p_w$ , where the subscripts ‘‘c’’ and

160 “w” denote current and wave, respectively. Equation (1) can be separated into a wave  
161 equation:

$$162 \quad \frac{\partial u_w}{\partial t} = \frac{\partial u_\infty}{\partial t} + \frac{\partial}{\partial z} \left( \nu_T \frac{\partial u_w}{\partial z} \right) \quad (3)$$

163 and a current equation:

$$164 \quad \nu_T \frac{\partial u_c}{\partial z} = \frac{\tau_{cb}}{\rho} = u_{*c}^2 \quad (4)$$

165 in which the law-of-the-wall arguments have been used. The free-stream wave velocity  
166  $u_\infty(t)$  associated with a velocity spectrum  $S_{Ub}(\omega)$  can be expressed as:

$$167 \quad u_\infty(t) = \text{Re} \left[ \sum_n u_{\infty,n} e^{i\omega_n t} \right] \quad (5)$$

168 where  $n$  denotes summation over frequencies and the amplitude of a wave component  
169  $|u_{\infty,n}|$  with radian frequency  $\omega_n$  is given by  $|u_{\infty,n}| = \sqrt{2S_{Ub}(\omega_n)d\omega}$ . The linearity of  
170 Equation (3) and a time-invariant  $\nu_T$  suggest the follow solutions of velocity and bottom  
171 shear stress:

$$172 \quad u(z, t) = \text{Re} \left[ \sum_n u_n(z) e^{i\omega_n t} \right] \quad (6)$$

173 and:

$$174 \quad \tau_b(t) = \text{Re} \left[ \sum_n \tau_{bn} e^{i\omega_n t} \right] \quad (7)$$

175 respectively. With the no-slip boundary condition specified at

$$176 \quad z = z_0 = k_b/30 \quad (8)$$

177 where  $k_b$  is bottom roughness, analytical solutions for  $u_n(z)$  can be expressed as:

$$178 \quad u_n(z) = F(\omega_n, z) u_{\infty,n} = \left[ 1 - \frac{\ker 2\sqrt{z\omega_n/\kappa u_{*cw}} + i\text{kei}2\sqrt{z\omega_n/\kappa u_{*cw}}}{\ker 2\sqrt{z_0\omega_n/\kappa u_{*cw}} + i\text{kei}2\sqrt{z_0\omega_n/\kappa u_{*cw}}} \right] u_{\infty,n} \quad (9)$$

179 where  $\ker$  and  $\text{kei}$  are Kelvin functions of order zero, see *Abramowitz and Stegun* [1965].

180 Bottom shear stress  $\tau_{bn}$  is evaluated at  $z = z_0$  with the obtained  $u_n(z)$ , and the result can

181 be expressed as:

$$182 \quad \tau_{bn} = K(\omega_n)u_{\infty,n} = \rho\kappa u_{*cw} \sqrt{\frac{z_0\omega_n}{\kappa u_{*cw}}} \left[ \frac{-\ker' 2\sqrt{\frac{z_0\omega_n}{\kappa u_{*cw}}} - i\text{kei}' 2\sqrt{\frac{z_0\omega_n}{\kappa u_{*cw}}}}{\ker 2\sqrt{\frac{z_0\omega_n}{\kappa u_{*cw}}} + i\text{kei} 2\sqrt{\frac{z_0\omega_n}{\kappa u_{*cw}}}} \right] u_{\infty,n} \quad (10)$$

183 in which ‘‘prime’’ denotes the derivative of the zeroth order Kelvin functions with respect  
 184 to its argument. Both  $F(\omega_n, z)$  and  $K(\omega_n)$  are weak functions of  $\omega$  for small bottom  
 185 roughness [Madsen *et al.*, 1988], so the spectra  $S_U(\omega, z)$  and  $S_{\tau_b}(\omega)$  can be approximated  
 186 as:

$$187 \quad S_U(\omega, z) \approx |F^2(\omega_{ave}, z)| S_{Ub}(\omega) \quad (11)$$

188 and

$$189 \quad S_{\tau_b}(\omega) \approx |K^2(\omega_{ave})| S_{Ub}(\omega) \quad (12)$$

190 respectively, where the average radian frequency  $\omega_{ave}$  associated with the average wave  
 191 period  $T_{ave}$  is defined as:

$$192 \quad \omega_{ave} = \frac{2\pi}{T_{ave}} = \frac{\int \omega S_{Ub}(\omega) d\omega}{\int S_{Ub}(\omega) d\omega} \quad (13)$$

193 Thus, both  $S_U(\omega, z)$  and  $S_{\tau_b}(\omega)$  are approximately proportional to  $S_{Ub}(\omega)$ , suggesting  
 194 that the Root-mean-square (RMS) wave velocity  $U_{rms}(z)$  and RMS wave bottom shear  
 195 stress  $\tau_{b,rms}$  can be simply related to the RMS free-stream velocity  $U_{\infty,rms}$  through:

$$196 \quad U_{rms}(z) \approx |F(\omega_{ave}, z)| U_{\infty,rms} \quad (14)$$

197 and

$$198 \quad \tau_{b,rms} \approx |K(\omega_{ave})| U_{\infty,rms} \quad (15)$$

199 respectively. A two-log-profile structure for current velocity profile is obtained by solving

200 Equation (4):

$$201 \quad u_c = \begin{cases} \frac{u_{*c,1}}{\kappa} \ln\left(\frac{z}{z_0}\right) = \frac{u_{*c}^2}{\kappa u_{*cw}} \ln\left(\frac{z}{z_0}\right), & z < \delta_{cw} \\ \frac{u_{*c,2}}{\kappa} \ln\left(\frac{z}{z_{0a}}\right) = \frac{u_{*c}}{\kappa} \ln\left(\frac{z}{z_{0a}}\right), & z \geq \delta_{cw} \end{cases} \quad (16)$$

where  $z_{0a} = k_{Na}/30$  with  $k_{Na}$  being the apparent roughness is obtained by matching the two log-profiles at the transition level  $\delta_{cw}$ . Therefore,  $z_{0a}$  is closely related to  $\delta_{cw}$ . M94 simply took  $\delta_{cw}/l = 2$ , where:

$$l = \frac{K u_{*cw}}{\omega_{ave}} \quad (17)$$

is a characteristic wave boundary layer scale. The closure for  $u_{*cw}$  is achieved by requiring the spectral wave-current model to reduce to the Grant-Madsen model, i.e.  $u_{*cw}$  becomes the maximum shear velocity based on the maximum bottom shear stress, in the limit of simple periodic waves. For collinear waves and currents,  $u_{*cw}$  is given by:

$$u_{*cw} = \sqrt{u_{*c}^2 + u_{*w}^2} \quad (18)$$

where the wave shear velocity  $u_{*w}$  is defined as:

$$u_{*w} = \begin{cases} \sqrt{\tau_{b,rms}/\rho}, & \text{irregular wave} \\ \sqrt{\tau_{wm}/\rho}, & \text{periodic wave} \end{cases} \quad (19)$$

with  $\tau_{wm}$  being the maximum wave bottom shear stress for periodic waves. Based on Equations (11), (12), (16) and (18), it can be easily seen that the if irregular waves are represented by a sinusoidal wave with  $U_{\infty,rms}$  as velocity amplitude and  $T_{ave}$  as wave period, the same effect of waves on currents can be obtained, and  $U_{rms}(z)$  and  $\tau_{b,rms}$  are just the velocity amplitude and maximum wave bottom shear stress of the equivalent sinusoidal wave, respectively. Thus, the periodic-wave-based GM model can be easily extended to irregular-wave scenarios.

The predicative ability of the original GM model is not always satisfactory due to some oversimplifications, e.g. the transition level  $\delta_{cw}$  for the discontinuous bi-linear turbulent eddy viscosity  $\nu_T$  is rather arbitrarily-define, so it has been improved for a few times. *Humbyrd* [2012] provided the latest and most consistent improvement of the GM model

(hereafter the improved GM model). This model adopts a three-layer continuous structure for  $\nu_T$  with rigorously defined transition levels (see appendix A for details). The analytical solution of the current velocity profile is two log-profiles connected by a smooth transition. Translating this more realistic current profile into the simpler two-log-profile structure defined by Equation (16), the normalized transition level  $\delta_{cw}/l$  is analytically obtained, and is shown to be a function of  $\alpha = u_{*c}/u_{*cw}$ . The maximum wave bottom shear stress  $\tau_{wm}$  predicted by the improved GM model is translated into a wave friction factor  $f_{cw} = 2\tau_{wm}/(\rho U_b^2)$ . Explicit formulas for  $f_{cw}$  under wave-current conditions are obtained from fitting analytical solutions. For the applicable range of our tests the formula can be simplified as:

$$\frac{f_{cw}}{C_\mu} = \exp \left\{ 5.70 \left( C_\mu \frac{A_b}{k_b} \right)^{-0.101} - 7.46 \right\}, \quad 10 < C_\mu \frac{A_b}{k_b} < 10^5 \quad (20)$$

where  $C_\mu$  is a parameter representing the effect of currents:

$$C_\mu = (1 - \alpha^2)^{-1} \quad (21)$$

For pure wave boundary layers the wave friction factor is obtained by taking  $C_\mu = 1$ . The maximum wave bottom shear stress leads the maximum free-stream velocity in phase. Explicit formula for the phase lead  $\varphi_\tau$  is also obtained from fitting analytical solutions, and for the applicable range of our tests  $\varphi_\tau$  can be approximately given by:

$$\varphi_\tau = \left[ 0.649 \left( C_\mu \frac{A_b}{k_b} \right)^{-0.160} + 0.118 \right] \frac{180}{\pi} [^\circ], \quad 10 < C_\mu \frac{A_b}{k_b} < 10^5 \quad (22)$$

In this study, the improved GM model is used for applying the equivalent-wave concept proposed by M94, and this combination is hereafter referred to as the improved M94 model.

### 3. Experimental conditions

#### 3.1. Experimental facility

245 The tests in this study are conducted using the Wave-Current-Sediment (WCS) facility  
246 at the hydraulic lab of the Civil and Environmental Engineering Department of National  
247 University of Singapore. The WCS is essentially a U-shaped oscillatory water tunnel. The  
248 main part is a 10m-long, 40cm-wide and 50cm-deep enclosed horizontal test channel with  
249 two cylindrical risers attached to the channel's two ends. A hydraulic-driven piston located  
250 in one of the risers produces oscillatory flows over the entire facility with flow velocities  
251 and accelerations up to 2 m/s and 2 m/s<sup>2</sup>, respectively, so full-scale flow conditions, i.e.  
252  $Re \sim O(10^5 \sim 10^6)$ , can be easily achieved. A current generation system driven by a  
253 rotary-lobe pump can superimpose currents with a cross-section average velocity up to 60  
254 cm/s on oscillatory flows. Previous studies by *Yuan and Madsen* [2014] (YM14 hereafter)  
255 and YM15 suggest that the facility can precisely produce intended oscillatory flows and  
256 currents, and the reader is referred to these two publications for more details on flow  
257 generation and other details about the WCS.

258 A 2D Particle Image Velocimeter (PIV) system supplied by TSI Corporation is used  
259 for measuring boundary layer flows in the vertical plane of the lateral centerline of the  
260 test channel. The measurement site is located around the longitudinal center of the test  
261 channel to minimize end effects. For all tests in this study, the vertical resolution is about  
262 0.6 mm/grid, which gives a roughly 12 cm-by-12 cm observation window. This is close  
263 to the highest resolution that the PIV can offer with the present experimental setup, and  
264 is sufficient for revealing key Reynolds-averaged characteristics of boundary layer flows  
265 in this study. Due to laser reflection on the bottom, the lowest level with valid PIV

266 measurement is about  $1 \sim 2$  mm above the crests of roughness elements. The reader  
 267 is referred to YM14 for more details on the PIV system. Since the flow in the WCS is  
 268 longitudinally uniform, the velocities measured at the same vertical level effectively have  
 269 the same Reynolds average velocity, so the 2D velocity field measured by the PIV can be  
 270 horizontally averaged into a Reynolds-averaged velocity profile:

$$271 \quad \langle \xi(z, t) \rangle = \frac{1}{I} \sum_{i=1}^I \xi(x_i, z, t) \quad (23)$$

272 where  $\xi$  is either the horizontal or vertical component of flow velocity ( $u, w$ ) and  $x_i$  is the  
 273 horizontal coordinate of the  $i$ -th velocity measurement of the total  $I$  velocity measure-  
 274 ments at level  $z$ . Unless otherwise indicated,  $\xi(z, t)$  will denote the Reynolds-averaged  
 275 quantities throughout the remainder of this paper. It has been shown by YM14 that the  
 276 measurements of turbulence statistics in the WCS, e.g. Reynolds stress, is not quantita-  
 277 tively reliable due to the averaging nature of PIV. Meanwhile, the focus of this paper is  
 278 irregular-wave-current interaction in terms of the Reynolds-averaged flow, so no measure-  
 279 ments about turbulence will be discussed in this paper.

### 3.2. Bottom conditions

280 As suggested by Equations (20) and (22), wave boundary layer flows are controlled  
 281 by the relative bottom roughness  $A_b/k_b$ . To cover a wide range of  $A_b/k_b$ , two fixed  
 282 rough bottoms are used in this study. One is created by gluing 3MTM 710 Safety-  
 283 Walk(TM) Slip-Resistant Coarse tapes (physical roughness height of about 1 mm) onto  
 284 smooth aluminum plates, and is hereafter referred to as the sandpaper bottom. The other  
 285 consists of a mono-layer of 12.5 mm-diameter ceramic marbles glued onto aluminum plates,  
 286 and is hereafter referred to as the ceramic-marble bottom. YM14 conducted careful log-

287 profile fitting analysis for several pure current and pure sinusoidal wave tests to quantify  
 288 the theoretical bottom location  $z = 0$  and equivalent Nikuradse sand grain roughness  $k_N$   
 289 for these two bottoms. For the sandpaper bottom,  $z = 0$  is found to be  $0.6 \pm 0.1$  mm  
 290 below the mean crest level of bottom roughness elements and  $k_N$  is  $3.7 \pm 0.1$  mm. For  
 291 the ceramic-marble bottom,  $z = 0$  is  $4.0 \pm 0.4$  mm (roughly 1/3 of the ceramic marbles'  
 292 diameter) below the top of the marbles and  $k_N$  is  $20 \pm 3$  mm.

### 3.3. Flow conditions

293 To produce oscillatory flows in the WCS, which can realistically simulate the bottom  
 294 wave velocity under irregular waves, we start with considering conceptual surface waves  
 295 characterized by a Joint North Sea Wave Project (JONSWAP) spectrum [*Hasselmann*  
 296 *et al.*, 1973] modified for finite depth. The spectral density of a JONSWAP spectrum is  
 297 given by:

$$298 \quad S_J(\omega) = \frac{\alpha g^2}{\omega^5} \exp \left[ -\frac{5}{4} \left( \frac{\omega}{\omega_p} \right)^{-4} \right] \gamma^{\exp \left[ \frac{-(\omega/\omega_p - 1)^2}{2\sigma^2} \right]} \quad (24)$$

299 where  $\alpha$  is Philip's constant,  $\gamma = 3.3$  is a peak enhancement factor,  $g$  is gravitational  
 300 acceleration,  $\omega_p$  is the radian frequency associated with the peak spectral density and  $\sigma$   
 301 is a spectral width factor given by:

$$302 \quad \sigma = \begin{cases} 0.07, & \text{if } \omega \leq \omega_p \\ 0.09, & \text{if } \omega > \omega_p \end{cases} \quad (25)$$

303 In finite water depth, *Graber* [1984] following *Kitaigorodskii et al.* [1975] derived a finite-  
 304 depth JONSWAP spectrum, which can be expressed as:

$$305 \quad S_{\eta\eta} = \phi(\omega) S_J \quad (26)$$

306 where:

$$307 \quad \phi(\omega) = \chi^{-2} \left[ 1 + \omega^2 \frac{h}{g} (\chi^2 - 1) \right]^{-1} \quad (27)$$



308 in which  $h$  is water depth and  $\chi$  is obtained from:

$$309 \quad \chi \tanh\left(\frac{h\omega^2}{g}\chi\right) = 1 \quad (28)$$

310 Using linear wave theory, the spectrum of near-bottom wave orbital velocity is:

$$311 \quad S_{Ub}(\omega) = \frac{\omega^2 S_{\eta\eta}(\omega)}{\sinh^2(kh)} \quad (29)$$

312 where  $k$  is the wave number obtained from the linear dispersion relationship:

$$313 \quad \omega^2 = gk \tanh(kh) \quad (30)$$

314 Thus, by tuning the parameters,  $\alpha$  and  $\omega_p$ , for the JONSWAP spectrum in Equation (24),  
 315 a  $S_{Ub}(\omega)$  with pre-determined target values for RMS wave velocity  $U_{\infty,rms}$  and average  
 316 radian frequency  $\omega_{ave}$  (or average wave period  $T_{ave} = 2\pi/\omega_{ave}$ ) can be obtained, which  
 317 is taken as the spectrum of free-stream velocity in the WCS. A realization of free-stream  
 318 velocity is obtained from a discretization of  $S_{Ub}$ :

$$319 \quad u_{\infty}(t) = \sum_{n=1}^N u_{\infty,n} \cos(n\Delta\omega t + \varphi_n) = \sum_{n=1}^N \sqrt{2S_{Ub}(n\Delta\omega)\Delta\omega} \cos(n\Delta\omega t + \varphi_n) \quad (31)$$

320 where  $\varphi_n$  is the randomly-generated phase of the  $n$ -th wave component and  $\Delta\omega$  is the  
 321 discretization interval. This realization has a recurrence period of  $T_{recur} = 2\pi/\Delta\omega$ , so  
 322  $\Delta\omega$  should be as small as possible to maximize  $T_{recur}$ . In this study  $T_{recur}$  is limited  
 323 by the longest duration of a continuous PIV measurement (limited by the RAM of PIV  
 324 computer), which is about 500 seconds with a sampling frequency of 5.12 Hz. Thus,  
 325  $\Delta\omega$  is chosen to give a recurrence period of  $T_{recur} = 500$  s to allow a continuous PIV  
 326 measurement for one recurrence period.  $u_{\infty}(t)$  is converted to the control signal for WCS  
 327 piston displacement  $s(t)$  based on the principle of continuity.

328 Two conceptual waves are considered in this study: a short-period wave (W1) with

329  $T_{ave} = 6.25$  s and  $U_{\infty,rms} = 0.85$  m/s at a water depth of  $h = 12$  m and a long-period  
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330 wave (W2) with  $T_{ave} = 12.5$  s and  $U_{\infty,rms} = 0.55$  m/s at a water depth of  $h = 40$   
 331 m. The corresponding Reynolds numbers  $Re = U_{\infty,rms}A_{\infty,rms}/\nu$  are close to  $1.0 \cdot 10^6$ ,  
 332 indicating full-scale flow conditions. The characteristic parameters of the spectra are  
 333 shown in Table 1. A recurrence period (500 s) includes about 40 or 80 individual waves  
 334 for the two wave conditions, which should be sufficient to realistically account for the wave  
 335 irregularity. Since the primary objective of this study is to investigate the basic wave-  
 336 current interaction under irregular waves,  $u_{\infty}(t)$  is expected to exhibit very little nonlinear  
 337 feature to exclude turbulence asymmetry streaming. Following *O'Donoghue et al.* [2006]  
 338 the nonlinearity is represented by a parameter  $R_u$  characterizing the velocity-skewness:

$$339 \quad R_u = \frac{u_{c,1/3}}{u_{c,1/3} - u_{t,1/3}} \quad (32)$$

340 where  $u_{c,1/3}$  and  $u_{t,1/3}$  are the averages of the highest 1/3 positive and negative maximums  
 341 of  $u_{\infty}(t)$ , and another parameter  $R_a$  characterizing the acceleration-skewness:

$$342 \quad R_a = \frac{a_{c,1/3}}{a_{c,1/3} - a_{t,1/3}} \quad (33)$$

343 where  $a_{c,1/3}$  and  $a_{t,1/3}$  are the highest 1/3 positive and negative maximums of  $du_{\infty}(t)/dt$ .  
 344 A few realizations of  $u_{\infty}(t)$  are generated for each wave condition with randomly-assigned  
 345 phase  $\varphi_n$  in Equation (31), and the one with  $R_u$  and  $R_a$  closest to 0.5 (indicating little  
 346 nonlinear features) is taken as the final choice. Figure 1(a,b) shows the chosen two real-  
 347 izations for the two wave conditions. The times series appear very ‘‘symmetric’’, i.e. one  
 348 would not notice any difference if the time series is flipped around  $u = 0$ . To facilitate  
 349 the comparison between the wave spectrum  $S_{\eta\eta}$  and free-stream velocity spectrum  $S_{U_b}$ ,  
 350 the spectral density is normalized as:

$$351 \quad \hat{S}_x(\hat{\omega}) = \frac{2S_x(\hat{\omega})}{x_{rms}^2/\omega_{ave}}, \text{ with } \hat{\omega} = \frac{\omega}{\omega_{ave}} \quad (34)$$

352 where  $x$  denotes a physical quantity and  $\omega_{ave}$  is always the mean radian frequency of  
 353 the free-stream velocity spectrum  $S_{Ub}$ . As shown in Figure 1(c,d),  $S_{\eta\eta}$  appears wider  
 354 than  $S_{Ub}$ , since the depth-decaying of wave orbital velocity is quite significant for higher  
 355 frequencies. Most spectral energy of  $S_{Ub}$  concentrates in the region  $0.5 < \omega/\omega_{ave} < 1.5$ ,  
 356 which is discretized into about 80 and 40 wavelets with the chosen  $\Delta\omega$  for W1 and  
 357 W2, respectively. This spectrum width is comparable to typical field measurements, e.g.  
 358 *Madsen et al.* [1993].

359 To demonstrate that the WCS can accurately generate the intended irregular waves,  
 360 the measured  $u_\infty(t)$  for test W1<sub>sa</sub> are compared with the target  $u_\infty(t)$  in Figure 2.  
 361 The measured time series closely follows the target, except for some minor fluctuations  
 362 possibly due to residual turbulence after Reynolds-averaging. The RMS value of  $u_\infty(t)$ ,  
 363 88.36 cm/s, is slightly larger than the target value, 85 cm/s, by 4%. The target  $u_\infty(t)$   
 364 is essentially the cross-section average velocity  $\bar{u}(t)$ . Since boundary layers reduce the  
 365 effective cross-section area of the test channel carrying  $\bar{u}(t)$ , it is expected that the actual  
 366  $u_\infty(t)$  should be slightly higher than  $\bar{u}(t)$  or the target  $u_\infty(t)$ . The normalized velocity  
 367 spectra shown in Figure 2a are virtually identical, suggesting that the intended  $S_{Ub}$  is  
 368 perfectly produced, despite of the 4% difference in  $U_{\infty,rms}$ . Therefore, it is concluded that  
 369 the WCS can very precisely generate the intended irregular waves. Since the time series of  
 370  $u_\infty(t)$  is selected with minimum nonlinear feature, the observed mean velocity (averaged  
 371 over one recurrence period) for wave-alone tests are virtually zero (of the order 1 mm/s),  
 372 indicating no turbulence asymmetry streaming for wave-alone tests.

373 Currents in the WCS are specified by the working frequency  $f_p$  of the rotary-lobe pump.  
 374 In this study we consider two currents with  $f_p=13$  Hz and 26 Hz, which have cross-section

375 average current velocities of 15 cm/s and 30 cm/s, respectively. Preliminary tests of  
 376 currents in the presence of the two irregular waves selected in this study suggest that  
 377 reversing the current direction has negligible effect on the current velocity profile, which  
 378 demonstrates the “symmetry” of the wave flows or the absence of turbulence asymmetry  
 379 streaming. Given that progressive wave streaming is also absent in OWTs, any observed  
 380 effects of waves on currents should be purely due to the basic wave-current interaction.

381 Table 1 summarizes the key parameters for all tests performed in this study. The  
 382 wave condition is specified by  $U_{\infty,rms}$  and  $T_{ave}$  of the measured free-stream velocity. The  
 383 current condition is specified by a reference current velocity  $u_c$  measured at a reference  
 384 level  $z_r$ , which is chosen to be  $z_r = 10$  cm (following YM15). The current and wave shear  
 385 velocities,  $u_{*c}$  and  $u_{*w}$  (defined in Equation (19)), are obtained from the measurements of  
 386 bottom shear stress (see section 4.4.1). The selected two current conditions have ratios  
 387 of  $u_{*c}/u_{*w}$  generally in the range 0.3 to 0.6, so the currents can be considered weaker  
 388 than the waves for all tests in this study. For turbulent flows over a rough bottom, the  
 389 pioneering work by *Nikuradse* [1933] suggests that the bottom roughness  $k_b$  varies with  
 390 flow conditions, which is characterized by a roughness Reynolds number  $Re_* = u_* k_N / \nu$ ,  
 391 where  $k_N$  is the equivalent Nikuradse sand grain roughness (YM14 obtained  $k_N = 20$  mm  
 392 and 3.7 mm for sandpaper and ceramic-marble bottoms, respectively). For sufficiently  
 393 large  $Re_*$ , boundary layer flows are within the fully-rough turbulent regime, and  $k_b =$   
 394  $k_N$ . Here the wave-current shear velocity  $u_{*cw}$  defined by Equation (18) is used as the  
 395 characteristic shear velocity in  $Re_*$ , and list the obtained  $Re_*$  together with  $k_b$  in Table 1.  
 396 More discussions on the way to obtain  $k_b$  and how  $k_b$  varies with  $Re_*$  will be presented  
 397 in section 4.1. To test the equivalent-wave concept of M94, equivalent-wave tests, i.e.

398 replacing the irregular oscillatory flow by a sinusoidal oscillatory flow with amplitude  
 399  $U_b = U_{\infty,rms}$  and period  $T = T_{ave}$ , are performed for the 6 tests over the ceramic-marble  
 400 bottom, and the key details are also summarized in Table 1.

## 4. Boundary layer flows under irregular waves with or without a current

### 4.1. Root-mean-square wave velocity

401 The measured 2D velocity fields are horizontally averaged into Reynolds-averaged veloc-  
 402 ity profiles  $u(z, t)$ . For each vertical level  $u(z, t)$  is Fourier analyzed to given the velocity  
 403 spectrum,  $S_U(\omega, z)$ , which further gives the RMS wave velocity  $U_{rms}(z)$ . The following  
 404 discussion on  $U_{rms}(z)$  is based on tests with the W1 wave, while same conclusions can be  
 405 made for tests with the W2 wave.

406 Figure 3a shows the measured  $U_{rms}(z)$  for a typical test W1\_cm together with the  
 407 amplitude of first-harmonic velocity  $U_1(z)$  of its equivalent-wave test. The comparison  
 408 suggests that  $U_{rms}(z)$  and  $U_1(z)$  have very similar vertical variations. In the region suf-  
 409 ficiently far from the bottom, both  $U_{rms}(z)$  and  $U_1(z)$  are very uniform, indicating the  
 410 free-stream region.  $U_{rms}(z)$  starts to deviate from the free-stream value roughly at the  
 411 level  $z \sim 110$  mm, which is apparently higher than the  $z \sim 80$  mm for  $U_1(z)$ , so the irreg-  
 412 ular wave boundary layer seems to be thicker than its equivalent periodic wave boundary  
 413 layer, which will be discussed later in section 4.3. Within the wave boundary layer, both  
 414  $U_{rms}(z)$  and  $U_1(z)$  first increase to about 5-7% higher than their free-stream values and  
 415 then decreases rapidly toward the bottom to satisfy the no-slip boundary condition, so  
 416 both profiles exhibit an overshoot structure.

417 To illustrate the details of  $U_{rms}(z)$  in the very near-bottom region, Figure 4 shows  
 418 the measured  $U_{rms}(z)$  in a logarithmic vertical coordinate for W1 waves over the two

rough bottoms with or without a superimposed C2 current. It can be clearly seen that  $U_{rms}(z)$  nicely follows a logarithmic velocity profile in the region a few millimeters from the bottom, so log-profile fitting analysis is applied to obtain the bottom roughness  $k_b$  and the controlling shear velocity  $u_*$ . For periodic wave or wave-current boundary layers, the GM model and the experiment results by YM14 and YM15 all demonstrate that the logarithmic approximation is valid for  $z/l \ll 1$ , where  $l = \kappa u_{*cw}/\omega$  is a characteristic boundary layer length scale. To have sufficient (more than 5) data points,  $z/l \leq 0.15$  is simply chosen as an upper limit for selecting data points for log-profile fitting analysis, where  $u_{*cw}$  in  $l = \kappa u_{*cw}/\omega$  is directly obtained from measurements of bottom shear stress (discussed later in section 4.4.1). For all tests in this study, the lowest level for valid PIV measurements (1-2mm above the crests of roughness elements) is outside the laminar sublayer and the buffer layer [Jiménez, 2004], so all data points satisfying  $z/l \leq 0.15$  are used in the analysis. Figure 4 shows the fitted logarithmic profiles, and Table 3 presents the associated numeric details. The overall quality of log-profile fitting is represented by the coefficient of determination  $R^2$  with  $R^2 = 1$  or  $1 - R^2 = 0$  indicating a perfect fitting. For the four tests in Figure 4, the value of  $1 - R^2$  is of the order  $O(10^{-5} \sim 10^{-4})$ , suggesting good fitting quality. The confidence level for  $u_*$  is represented by the relative 95% confidence interval,  $\Delta u_*/u_*$ , which is only about 1-5%. The confidence level for bottom roughness  $k_b$  is given by a 95% confidence factor  $r_{\Delta k} > 1$ , i.e. the true  $k_b$  is 95%-likely between,  $k_b/r_{\Delta k}$  and  $k_b \cdot r_{\Delta k}$ , and the obtained  $r_{\Delta k}$  is between 1.05 and 1.11. These small confidence limits demonstrate that the fitted  $u_*$  and  $k_b$  are very reliable.

The fitted bottom roughness  $k_b$  for all 12 tests are presented in Table 1 together with measured  $Re_*$ , which characterizes turbulent conditions. For tests over the ceramic-marble

442 bottom  $Re_*$  is of the order 1000, so they are all within the fully-rough turbulent regime,  
 443 and their  $k_b$  ( $19.9 \pm 1.1$  mm) is indeed very close to  $k_N = 20$  mm. For the sandpaper  
 444 bottom, YM14 shows that the fully rough turbulent regime is established for  $Re_* \geq 300$ ,  
 445 so all W1 tests are within the fully rough turbulent regime, as evidence by the fact that  
 446 their  $k_b$  ( $3.6 \pm 0.4$  mm) is very close to  $k_N = 3.7$  mm, while all W2 tests are within the  
 447 transient regime, and their  $k_b$  is consistently smaller than  $k_N = 3.7$  mm. These obtained  
 448 bottom roughnesses are very close to those for periodic wave, pure-current and period  
 449 wave-current tests in previous studies (YM14 and YM15), so wave irregularity does not  
 450 affect the bottom roughness controlling wave boundary layer flows over the same bottom  
 451 configurations. The difference in fitted  $k_b$  between wave-alone and wave-current tests, e.g.  
 452 the difference between  $k_b$  for W1\_cm and W1C2\_cm, are negligible, which suggests that  
 453 the roughness experienced by irregular waves are not affected by a superimposed current.

454 Based on these observations, it is concluded that the RMS velocity of irregular waves  
 455 indeed behaves as the first-harmonic velocity amplitude of the equivalent periodic wave,  
 456 as suggested by the M94's equivalent-wave concept.

## 4.2. Phase-lead of near-bottom velocity

457 It has been shown that horizontal velocity within periodic wave boundary layers leads  
 458 the free-stream velocity in time [e.g. *Sleath*, 1987], which is also observed for the irregular  
 459 wave boundary layers in this study. Figure 5 compares the normalized free-stream velocity  
 460  $u_\infty(t)$  and  $u(z, t)$  measured at  $z = 4.9$  mm, which represents the near-bottom velocity, for  
 461 a typical wave-alone test W1\_cm. The measurements suggest that  $u(z, t)$  closely follows  
 462  $u_\infty(t)$  with a small time lead  $\Delta t$ . A representative value for  $\Delta t$  is quantified based on  
 463 the cross-correlation coefficient  $\rho_{Cr}$  between  $u_\infty(t + \Delta t)$  and  $u(z, t)$ , i.e. the time lead

464  $\Delta t$  gives the  $\rho_{Cr}$  closest to 1 is taken as the representative value, and is translated into  
 465 a representative phase lead based on the average wave period  $T_{ave}$  of the free-stream  
 466 velocity:

$$467 \quad \varphi_U(z) = \frac{2\pi\Delta t(z)}{T_{ave}} \quad (35)$$

468 Thus, a vertical profile of representative phase lead  $\varphi_U(z)$  is obtained. Figure 3b shows  
 469  $\varphi_U(z)$  for test W1\_cm, and the phase  $\varphi_1(z)$  of first-harmonic velocity of its equivalent-  
 470 wave test is also provided for easy comparison. As the bottom is approached, both  $\varphi_U(z)$   
 471 and  $\varphi_1(z)$  first decrease by slightly about  $0.1 \sim 0.2^\circ$  from zero, and then increase to about  
 472  $25^\circ$  in the very near-bottom region. Generally speaking,  $\varphi_U(z)$  and  $\varphi_1(z)$  very closely  
 473 follow each other, except for the region for  $30 \text{ mm} < z < 80 \text{ mm}$ , where a discrepancy of  
 474  $1 \sim 2^\circ$  is observed. This observation, together with the observed similarity between RMS  
 475 wave velocity and its equivalent, support the equivalent-wave concept of M94.

### 4.3. Characteristic Boundary layer thickness

476 Many researchers, e.g. YM14, take the elevation of the maximum overshoot of first-  
 477 harmonic-velocity amplitude as a characteristic boundary layer thickness  $\delta_m$  for periodic  
 478 wave boundary layers. YM14 obtained the following empirical formula for  $\delta_m$  from fitting  
 479 experimental results:

$$480 \quad \frac{\delta_m}{k_N} = 0.079 \left( \frac{A_b}{k_N} \right)^{0.81} \quad (36)$$

481 The observations shown in section 4.1 suggest a good similarity between the RMS wave  
 482 velocity of irregular waves and the first-harmonic-velocity amplitude of periodic waves, so  
 483 here  $\delta_m$  is defined as the elevation of the maximum overshoot of the RMS wave velocity.  
 484 For the four wave-alone tests, the measurements are compared with the predictions given  
 485 by Equation (36) with  $A_b$  being the RMS excursion amplitude  $A_{b,rms} = U_{\infty,rms}/\omega_{ave}$ . As



486 shown in Figure 6, the measured values for  $\delta_m$  are consistently higher than the predictions  
 487 by roughly 18%. Since Equation (36) is obtained based on periodic-wave experiments,  
 488 the discrepancy suggests that the irregular wave boundary layers are thicker than their  
 489 equivalent periodic wave boundary layers, which is in agreement with the observation in  
 490 Figure 3. For a train of irregular waves, previous experimental studies showed that wave  
 491 boundary layer thickness changes with individual waves [e.g. *Bhawanin et al.*, 2014], since  
 492 wave boundary layers are re-developed after each flow reversal. Therefore, some very  
 493 strong individual waves have boundary layers thicker than that of the equivalent periodic  
 494 wave. The deviation of  $U_{rms}(z)$  from the free-stream value at high levels is probably  
 495 due to these large waves, so the characteristic boundary layer thickness based on  $U_{rms}(z)$   
 496 appears larger than that of the equivalent periodic wave. However, this 18% difference is  
 497 negligible if one wants to predict  $\delta_m$  for moveable sea bottom with Equation (36). This  
 498 is because the inaccuracy in determining the moveable bottom roughness  $k_N$  is much  
 499 higher than 18%. Thus,  $\delta_m$  can still be reasonably predicted for irregular waves using the  
 500 equivalent-wave concept.

#### 4.4. Bottom shear stress

##### 4.4.1. Experimental determination of bottom shear stress

502 Bottom shear stress in the WCS must be inferred from velocity measurements, and  
 503 YM14 showed that the log-profile fitting method is the only valid method for rough-  
 504 bottom tests. This method assumes that the flow is quasi-steady in the very near-bottom  
 505 region, so the instantaneous velocity profile follows a logarithmic distribution controlled  
 506 by the instantaneous shear velocity  $u_*(t) = \sqrt{|\tau_b(t)|/\rho}$  and physical bottom roughness  $k_b$ .  
 507 Thus, instantaneous bottom shear stress  $\tau_b(t)$  can be obtained through log-profile fittings.

508 This method is not valid around flow reversals, when the effect of adverse pressure gradient  
509 invalidates the log-profile approximation, but for most (about 70%) of the time, especially  
510 at (or close to) maximum flow conditions, the method works reasonably well. Both  $u_*(t)$   
511 and  $k_b$  can be taken as unknowns in the log-profile fitting analysis, but YM14 proposed a  
512 modified log-profile fitting, which takes a fixed value for  $k_b$ , to improve accuracy, and also  
513 to be consistent with the assumption of a constant  $k_b$  in most analytical models. Thus, we  
514 follow YM14 and take the  $k_b$  given by fitting the RMS wave velocity profile in the modified  
515 log-profile fitting. To ensure that the analysis is in the very near-bottom region and also  
516 have enough data points, the bottom-most 5 data points are used (also following YM14).  
517 Figure 7 shows the log-profile fitting for three representative instantaneous velocity profiles  
518 for test W1\_sa. For profiles P1 and P2, the free-stream velocity is close to the local  
519 maximum, and the fitted log-profiles nicely approximate the measurements. However,  
520 for profile P3, which is slightly before a flow reversal, the near-bottom velocity opposes  
521 the free-stream velocity, indicating a flow separation, which has already been observed  
522 for periodic wave boundary layer flows [e.g. *Jensen et al.*, 1989]. For such situations,  
523 although the modified log-profile fitting cannot give the accurate instantaneous bottom  
524 shear stress for P3, it still qualitatively yields a small bottom shear stress in the direction  
525 of the instantaneous near-bottom flow, which can be taken as a local interpolation. It  
526 should be pointed out that using other interpolation schemes to estimate bottom shear  
527 stress around flow reversal has little effect on the following analysis, e.g. the RMS bottom  
528 shear stress only varies by 1-2% if a cubic-spline interpolation is applied to “fill the gaps”.

529 Figure 8 shows a 100-second segment of the obtained instantaneous bottom shear stress  
530 together with the free-stream velocity for test W1\_sa. Both time series are normalized

531 with their RMS values for easy comparison. The time series of bottom shear stress is  
 532 reasonably smooth and closely follows the time series of free-stream velocity with a time  
 533 lead similar to that of the near-bottom velocity (see Figure 5). More discussions on this  
 534 will be presented in the next subsection.

#### 535 4.4.2. Bottom shear stress of the equivalent wave and energy dissipation rate

The measured RMS wave bottom shear stress and free-stream velocity give the following  
 wave friction factor defined as by M94:

$$f_{cw} = \frac{2\tau_{b,rms}^2}{\rho U_{\infty,rms}^2} \quad (37)$$

536 The equivalent-wave concept suggests that this friction factor can be predicted with the  
 537 improved GM model, i.e. Equation (20), with  $U_{\infty,rms}$  and  $T_{ave}$  being the velocity ampli-  
 538 tude and wave period. Since all input variables for Equation (20) are readily obtained  
 539 from measurements, we can validate this formula against our measurements. As shown  
 540 in Figure 9a, the model reasonably predicts  $f_{cw}$  for the wave-alone tests (open circles),  
 541 but gives a slight (less than 10%) overestimate for the wave-current tests (full circles),  
 542 which are in agreement with the model validations for the equivalent-wave tests (more  
 543 validations for periodic-wave scenarios can be found in YM14 and YM15). As claimed by  
 544 YM15, a 10% error in bottom shear stress is usually insignificant compared with other  
 545 model uncertainties, e.g. the determination of movable bottom roughness, so applying  
 546 the equivalent-wave concept with the improved GM model can accurately predicted the  
 547 RMS wave bottom shear stress.

548 As shown in Figure 8, bottom shear stress leads the free-stream velocity in time. The  
 549 significance of this time lead lies in that it influences the energy dissipation rate due to

550 wave bottom shear stress, which is defined as [following *Kajiura*, 1968]:

$$551 \quad \dot{E} = \langle \tau_b(t) u_\infty(t) \rangle \quad (38)$$

552 where the bracket indicates time-averaging. M94 obtained an analytical approximation  
553 for  $\dot{E}$  under irregular waves as follows. Expressing both  $\tau_b(t)$  and  $u_\infty(t)$  as summations  
554 of infinitesimal wave components,  $\dot{E}$  can be written as:

$$555 \quad E = \sum_n \langle u_{\infty,n} \cos(\omega_n t) \tau_{bn} \cos(\omega_n t + \varphi_{\tau n}) \rangle \quad (39)$$

556 where  $\varphi_{\tau n}$  is the phase lead of  $\tau_{bn}$  over  $u_{\infty,n}$ . For small bottom roughness  $\varphi_{\tau n}$  is a weak  
557 function of  $\omega_n$ , and therefore can be replaced by the value  $\varphi_\tau$  for  $\omega = \omega_{ave}$ . After examining  
558 the behavior of function  $K(\omega_n)$  in Equation (10), the  $n$ -th component of bottom shear  
559 stress  $\tau_{bn}$  can be approximately written as:

$$560 \quad \tau_{bn} \approx \frac{1}{2} f_{cw} \rho u_{\infty,n} U_{\infty,rms} \quad (40)$$

561 Therefore,  $\dot{E}$  is obtained as:

$$562 \quad \dot{E} = \langle \tau_b(t) u_\infty(t) \rangle = \frac{1}{2} \tau_{b,rms} U_{\infty,rms} \cos(\varphi_\tau) \quad (41)$$

563 Using measurements of  $\tau_b(t)$  and  $u_\infty(t)$ , we can directly evaluate  $\dot{E}$  with Equation (38),  
564 so  $\varphi_\tau$  can be obtained through:

$$565 \quad \cos(\varphi_\tau) = 2 \frac{\dot{E}}{\tau_{b,rms} U_{\infty,rms}} \quad (42)$$

566 Alternatively, we can estimate  $\varphi_\tau = \omega_{ave} \Delta t$  using the cross-correlation analysis between  
567  $\tau_b(t)$  and  $u_\infty(t)$  to obtain the  $\Delta t$  maximizing the cross-correlation coefficient. Thus, we  
568 can validate the improved GM model, i.e. Equation (22), against the measurements  
569 obtained in two different approaches. As shown in Figure 9b, both the two groups of  
570 measurements and the model suggest that  $\varphi_\tau$  decreases with the parameter  $C_\mu A_b / k_b$ .  $\varphi_\tau$

571 obtained from cross-correlation analysis (circles) is constantly smaller than  $\varphi_\tau$  obtained  
 572 based on dissipation rate (squares) by about  $5 \sim 10^\circ$ . The model predictions are lower  
 573 than the measurements based on dissipation rates by less than  $5^\circ$ . Such a discrepancy  
 574 will lead to less than 5% overestimate for the prediction of energy dissipation rate, since  
 575  $\cos(\varphi_\tau)$  varies slowly with  $\varphi_\tau$  in the range  $5^\circ < \varphi_\tau < 30^\circ$ . It should be point out that  
 576 the dissipation-rate approach is very sensitive to the determination error in  $\tau_{b,rms}$  and  
 577  $U_{\infty,rms}$ , i.e. reducing one of them by 1% will lead to  $1 \sim 2^\circ$  reduction in the obtained  $\varphi_\tau$ .  
 578 Since both  $\tau_{b,rms}$  and  $U_{\infty,rms}$  contain residual turbulence, their actual values should be  
 579 smaller, and hence  $\varphi_\tau$  is very-likely overestimated by a few degrees. Thus, although the  
 580 comparison suggests the model may have certain bias, the associated error is generally  
 581 negligible.

582 Some researchers [e.g. *Jonsson*, 1966] proposed the following temporal variation of bot-  
 583 tom shear stress for periodic waves:

$$584 \quad \tau_b(t) \propto |\cos(\omega t)| \cos(\omega t) \quad (43)$$

585 By assuming that  $\dot{E}$  of irregular waves is the same as  $\dot{E}$  of the equivalent periodic wave  
 586 and adopting Equation (43) for  $\tau_b(t)$ , another estimate of  $\dot{E}$  is:

$$587 \quad \dot{E} = \frac{4}{3\pi} \tau_{b,rms} U_{\infty,rms} \cos(\varphi_\tau) \quad (44)$$

588 This formula for energy dissipation rate is adopted in many studies with or without con-  
 589 sidering the phase-lead effect ( $\cos \varphi_\tau$ ), e.g. *Traykovski et al.* [2015] used it for analyzing  
 590 field data. However, the equivalent-wave analogy embedded in Equation (44) is purely hy-  
 591 pothetical, while Equation (41) is analytically derived. In fact, Equation (42) will always  
 592 give a  $\cos(\varphi_\tau) \geq 1$  for all tests in this study if the factor 2 is changed to  $3\pi/4$ , indicating

593 that Equation (44) underestimates the energy dissipation rate even with  $\cos \varphi_\tau$  neglected.  
 594 This finding can be considered as an experimental evidence to support Equation (41) over  
 595 Equation (44) for predicting  $\dot{E}$ .

#### 4.5. Spectral analysis

596 To facilitate the comparison among velocity spectra at different vertical levels, the  
 597 velocity spectrum at level  $z$  is normalized with the local RMS wave velocity  $U_{rms}(z)$  and  
 598 the average radian frequency  $\omega_{ave}$  of the free-stream velocity, as defined by Equation (34).  
 599 Figure 10(a,b) compares the normalized spectra of the free-stream velocity and the velocity  
 600 measured at  $z = 4.9$  mm (the bottom-most level for valid PIV measurements) for test  
 601 W1\_cm (wave-alone) and test W1C2\_cm (wave-current) over the ceramic-marble bottom.  
 602 The irregular waves for both tests have a target  $U_{\infty,rms}$  of 0.85 m/s and a target  $T_{ave}$  of 6.25  
 603 s. The spectrum at  $z = 4.9$  mm exhibits slightly larger data scatter than the free-stream  
 604 one, which is possibly due to the stronger residual turbulence after Reynolds-averaging  
 605 in the near-bottom region, but the difference between the two normalized spectra can  
 606 still be considered negligible. If we closely inspect the measurements, we can see that for  
 607 the low-frequency regime,  $\omega/\omega_{ave} \leq 1$ , the normalized spectral density of the near-bottom  
 608 velocity is slightly lower than that of the free-stream velocity, while the opposite occurs for  
 609 the high-frequency regime  $\omega/\omega_{ave} \geq 1$ . This can be explained by the behavior of function  
 610  $F(\omega_n, z)$  in Equation (9), i.e.  $|F(\omega, z)|^2 < 1$  generally increases with  $\omega$  in the near-bottom  
 611 region, leading to less reduction in spectral density for higher frequencies. Nevertheless,  
 612 the closeness between the two normalized spectra indicates that the velocity spectrum  
 613 at a given vertical level  $z$  is proportional to the free-stream velocity spectrum with a

614 factor  $(U_{rms}(z)/U_{\infty,rms})^2$ , which is essential for theoretically deriving the equivalent-wave  
 615 concept.

616 Figure 10(c,d) shows the normalized spectra of bottom shear stress  $S_{\tau b}(\omega)$  for tests  
 617 W1\_cm and W1C2\_cm. The normalized spectra for free-stream velocity  $S_{Ub}(\omega)$  are also  
 618 provided for easy comparison. The normalized  $S_{\tau b}(\omega)$  closely follows the normalized  
 619  $S_{Ub}(\omega)$  for both tests, suggesting that  $S_{\tau b}(\omega)$  is also proportional to  $S_{Ub}(\omega)$ , as predicted  
 620 by M94. Since bottom shear stress is obtained by log-profile fitting the near-bottom  
 621 velocity, of which the spectrum is already shown to be proportional to  $S_{Ub}(\omega)$ , it is actually  
 622 expected to see that  $S_{\tau b}(\omega) \propto S_{Ub}(\omega)$ . The comparisons between Figure 10(a,b) and (c,d)  
 623 suggest that the co-existing current do not affect the shape of the spectra.

624 An interesting observation is that there is a secondary peak in the spectrum of bottom  
 625 shear stress for wave-alone tests in the frequency range  $\omega/\omega_{ave} = 3$ . As shown in Figure 11  
 626 for test W1\_cm, despite of the noticeable scatter, the spectral density around  $\omega/\omega_{ave} = 3$   
 627 is significantly higher than the background noise, and is about 1% of the spectral density  
 628 of the primary peak around  $\omega/\omega_{ave} = 1$ . For periodic wave boundary layers, YM14 shows  
 629 that the bottom shear stress can be considered as the superposition of a primary first  
 630 harmonic and a secondary third harmonic:

$$631 \quad \tau_b(t) = \tau_{b1} \cos(\omega t) + \tau_{b3} \cos(3\omega t) \quad (45)$$

632 The third harmonic is roughly 15% of the first harmonic in amplitude, or 2% in energy.  
 633 Thus, the observed secondary peak around  $\omega/\omega_{ave} = 3$  is equivalent to the third-harmonic  
 634 bottom shear stress for periodic waves. *Trowbridge and Madsen [1984a]* adopted a time-  
 635 varying turbulent eddy viscosity and showed that the third-harmonic bottom shear stress  
 636 for periodic waves is produced by the interaction of the first-harmonic velocity and the

637 second-harmonic turbulent eddy viscosity. Thus, this observed secondary peak should be  
 638 explained by similar physics, which is not captured by the time-invariant turbulent eddy  
 639 viscosity in the M94 model.

## 5. Current in the presence of irregular waves

640 The current velocity and current bottom shear stress are obtained by averaging the  
 641 instantaneous velocity and bottom shear stress over the entire recurrence period of a  
 642 wave-current test.

### 5.1. Typical current velocity profile in the presence of irregular waves

643 Figure 12 shows the current velocity profile with a logarithmic vertical coordinate for a  
 644 typical test W1C2\_sa (current with a roughly 30 cm/s average velocity in the presence of  
 645 irregular waves with  $U_{\infty,rms} = 0.85$  m/s and  $T_{ave} = 6.25$  s over the sandpaper bottom).  
 646 The measurements clearly follow the two-log-profile structure suggested by the M94 model,  
 647 i.e. Equation (16). The lower current velocity profile is steeper than the upper current  
 648 velocity profile, indicating a reduced shear velocity, i.e.  $u_{*c1}/u_{*c2} = u_{*c}/u_{*cw} < 1$  in  
 649 Equation (16).

650 To perform log-profile fitting analysis, the data selection rule proposed YM15 for peri-  
 651 odic wave-current boundary layer is adopted here. For the lower current velocity profile,  
 652 which should be within the wave boundary layer, we simply apply the data-selection limits  
 653 for fitting the RMS wave velocity profile (see section 4.1). For the upper current velocity  
 654 profile, the data within the following range is selected for log-profile fitting:

$$1.5\delta_{ct} < z < 10\text{cm} \quad (46)$$



656 where  $\delta_{ct}$  in the lower limit is the beginning of the upper logarithmic current profile  
 657 suggested by the improved GM model, which can be evaluated using Equations (A2)  
 658 to (A4) with experimental values of  $A_b/k_b$  and  $\alpha = u_{*c}/u_{*cw}$ . The 1.5 factor in the  
 659 lower limit is to account for the uncertainty in  $\delta_{ct}$ . The current in the WCS is driven by  
 660 a mean pressure gradient, which is neglected in the improved GM model based on the  
 661 near-wall argument. However, YM15 estimated that this mean pressure gradient does not  
 662 invalidate the upper logarithmic current profile for  $z < 10$  cm, so  $z = 10$  cm is taken as  
 663 an upper limit for data selection. The fitted logarithmic profiles are shown in Figure 12,  
 664 and the numeric details are presented in Table 4. The measurements nicely follow the  
 665 fitted profiles, which is reflected by the very low value of  $1 - R^2$  and very small confidence  
 666 limits for both fitted shear velocity and bottom roughness.

667 The bottom roughness controlling the lower current velocity profile is 4.7 mm, which  
 668 is fairly close to the equivalent Nikuradse sand grain roughness  $k_N = 3.7$  mm for the  
 669 sandpaper bottom. Thus, the lower current velocity profile is shown to be controlled by  
 670 the physical bottom roughness. Moreover, this obtained bottom roughness is also very  
 671 close to the bottom roughness  $k_b = 4.0$  mm controlling the RMS wave velocity profile (see  
 672 Table 3), indicating that the same no-slip boundary condition, i.e.  $z = 0$  at  $z = k_b/30$ ,  
 673 can be applied for co-existing irregular waves and currents, which is usually adopted  
 674 by theoretical models. The bottom roughness for the upper current profile,  $k_b = 58.6$   
 675 mm, is much larger than the physical bottom roughness, which is in agreement with  
 676 the important implication of GM model that currents are controlled by a large apparent  
 677 roughness outside the wave boundary layer.

678 Before validating the improved M94 model against our measurements, here the measured  
679 current velocity profiles in the presence of irregular waves are first compared with their  
680 equivalent periodic waves to test the equivalent-wave concept. For the equivalent-wave  
681 tests, the sinusoidal oscillatory flows in the WCS take the RMS free-stream velocity and  
682 average period of their corresponding irregular oscillatory flows as their velocity amplitude  
683 and wave period, respectively, and the equivalence for currents is achieved by keeping the  
684 pump's working frequency (or total discharge). Figure 13 compares the measured current  
685 profiles for two representative tests W1C1\_cm (relatively weak current or low  $u_{*c}/u_{*w}$ ) and  
686 W2C2\_cm (relatively strong current or high  $u_{*c}/u_{*w}$ ). The two current profiles are very  
687 close to each other in the very near-bottom region, where the lower logarithmic current  
688 profile exists, but in the transition region the current profiles with the periodic equivalent  
689 wave seem to curve slightly more than the ones with irregular waves, and therefore a small  
690 difference for the upper current logarithmic profile is observed, i.e. the current velocity  
691 with the periodic equivalent wave is slightly higher by a few percentage. Nevertheless,  
692 the difference can be considered immaterial, so it is experimentally demonstrated that the  
693 basic wave-current interaction can be represented with the equivalent-wave concept.

## 5.2. Modeling wave-current interaction using the representative-wave concept

694 In this subsection, the improved M94 model is validated against the wave-current tests  
695 in this study. For this model, the inputs for wave conditions are the measured RMS  
696 free-stream wave velocity  $U_{\infty,rms}$  and average period  $T_{ave}$ . Following YM15, the current  
697 condition is specified by the measured current velocity at a reference level  $z_r = 10$  cm. It  
698 should be noted that the choice of reference level has negligible effect on predictions, as  
699 long as  $z_r$  is within the region of upper logarithmic current profile. For tests within the

700 fully-rough turbulent regime,  $k_b = k_N$  is adopted as bottom roughness, while for the W2  
701 tests over the sandpaper bottom,  $k_b$  given by fitting the RMS wave velocity profile is used  
702 in predictions.

703 Figure 14 compares the predicted and measured current velocity profiles for the four  
704 tests with the W1 wave condition. The improved M94 model very accurately predicts the  
705 upper current velocity profile, while the prediction for the lower current velocity profile  
706 deviates from the measurements a bit by less than 10%. The sharp kink in predictions  
707 should not be considered as model inaccuracy, as it is due to the discontinuity of the bi-  
708 linear turbulent eddy viscosity, which is kept in the improved M94 model for simplicity.  
709 The predictions of two key parameters, current shear velocity  $u_{*c}$  and apparent roughness  
710  $k_{Na}$ , are further validated against measurements. As shown in Figure 15a, the improved  
711 M94 model slightly overestimates  $u_{*c}$  by 6.6%, which is in agreement with the inaccuracy  
712 for periodic wave-current boundary layers (see YM15). The comparison for apparent  
713 roughness exhibits larger scatter (Figure 15b), i.e. the difference between predictions and  
714 measurements varies between 10 to 30%, but the overall agreement is a factor of 1.032.  
715 Thus, in terms of these two key parameters the model performance is also excellent.

## 6. Summary and discussion

716 A full-scale experimental study of irregular wave boundary layers with or without a  
717 superimposed current is conducted in an oscillatory water tunnel. Tests include two wave  
718 conditions and two current conditions over two fixed rough bottoms with well-known  
719 physical roughness and theoretical bed level. Boundary layer flows are measured using a  
720 2D PIV system, and the measured instantaneous velocity fields are horizontally averaged  
721 to give Reynolds-averaged velocity profiles. Instantaneous bottom shear stress is estimated

722 by log-profile fitting the instantaneous velocity profiles in the very near-bottom region,  
723 which is found to follow the logarithmic law during most of the time.

724 To generate realistic prototype flow conditions, the free-stream velocity spectrum is  
725 given by the spectrum of wave bottom velocity under conceptual irregular surface waves  
726 described by the JONSWAP spectrum. Time series of free-stream velocity is generated  
727 by superimposing a large number of wave components with randomly assigned phases,  
728 which form a discretization of the free-stream velocity spectrum. Since this study focuses  
729 on the basic wave-current interaction, the generated time series with negligible nonlinear  
730 features, i.e. almost no skewness in velocity and acceleration, are selected for experiments.

731 The RMS wave velocity profile and the representative velocity phase lead for irregular  
732 wave boundary layers are very similar to the amplitude and phase of first-harmonic ve-  
733 locity for the corresponding equivalent periodic waves. The RMS wave velocity closely  
734 follows the logarithmic velocity profile in the very near bottom region, which is controlled  
735 by the physical bottom roughness. Based on a characteristic boundary layer thickness, i.e.  
736 the elevation of maximum overshoot of the RMS wave velocity profile, the irregular wave  
737 boundary layers appear slightly “thicker” than the equivalent periodic wave boundary  
738 layers. This is possibly due to the large waves in a package of irregular waves, which have  
739 thicker boundary layers.

740 The wave friction factor, which represents the RMS wave bottom shear stress, can be  
741 accurately predicted by the improved M94 model for wave-alone and wave-current tests.  
742 A representative phase lead of bottom shear stress over the free-stream velocity can be  
743 obtained by either correlation analysis or by considering wave energy dissipation rate.  
744 The dissipation-rate approach yields a phase lead  $5 \sim 10^\circ$  larger than the correlation

745 approach, while the prediction by the improved M94 model is generally between the two  
746 measurements. The bottom-shear-stress spectrum and the velocity spectra within the  
747 wave boundary layer are found to be proportional to the free-stream velocity spectrum,  
748 which is essential for theoretically deriving the equivalent-wave concept. A small but  
749 meaningful secondary peak is observed in the high-frequency range of bottom shear stress  
750 spectrum for pure wave tests, which is possibly produced by the same physics leading to  
751 a third-harmonic bottom shear stress under sinusoidal oscillatory flows.

752 Currents in the presence of irregular waves exhibit the classic two-log-profile structure  
753 suggested by the periodic-wave-based GM model. The lower log-profile is controlled by  
754 the physical bottom roughness, while the upper log-profile is controlled by a much larger  
755 apparent roughness, which characterizes the effect of waves on currents. Little difference  
756 is observed between the measured current velocity profiles in the presence of irregular  
757 waves and the corresponding equivalent sinusoidal wave, which experimentally supports  
758 the equivalent-wave concept. The improved M94 model is validated against measurements  
759 for predicting current velocity profiles. The predicted current velocity profiles, as well  
760 as the current shear velocity and apparent roughness, are all in good agreement with  
761 measurements.

762 This study together with YM14 and YM15, form a group of experimental studies, which  
763 are comparable to the studies of *Mathisen and Madsen* [1996a, b, 1999] (MM hereafter),  
764 i.e. both groups consider periodic and irregular waves with or without collinear currents  
765 over fixed rough bottom configurations. The general conclusions for both groups are  
766 similar: (a) a single bottom roughness of a fixed rough bed can be applied for waves  
767 and currents and (b) the wave-current interaction can be modeled accurately with the

768 GM-type model for periodic waves and M94-type model for irregular waves. However,  
769 there is a fundamental difference between the two groups, which is primarily due to  
770 the value of bottom roughness. In MM's study, the bottom roughness has to be very  
771 large to ensure turbulent flow conditions, so the near-bottom wave excursion amplitude  
772 in their study is smaller than the physical bottom roughness, i.e.  $A_b/k_b < 1$ . Their  
773 observations also suggest that the wave boundary layer thickness  $\delta$  is comparable to  $A_b$ ,  
774 and therefore smaller than  $k_b$ . Thus, their experiments are actually outside the limits of  
775 validity for both GM or M94 models (as acknowledged by the authors), since both models  
776 assume  $k_b \ll \delta \ll A_b$  for applying the no-slip boundary condition at  $z = z_0 = k_b/30$   
777 and linearizing the governing equation. Consequently, the actual wave boundary layers  
778 in their study should be dramatically different from those suggested by the two models.  
779 Nevertheless, by extrapolating the GM or M94 models outside their limits of validity, MM  
780 back-calculated bottom roughness for waves based on measured wave attenuation and the  
781 bottom roughness for currents in the presence of waves based on measured current velocity  
782 profile. The obtained values of bottom roughness are reasonably close to the physical  
783 bottom roughness obtained from log-profile fitting pure current logarithmic profiles. This  
784 suggests that for the low  $A_b/k_b$  regime wave-current boundary layers can still be reasonably  
785 modeled with the GM or M94 models and the physical bottom roughness, even though  
786 the models are completely conceptual. Thus, to some extent, the MM's work does not  
787 directly validate both models, but shows that they can be applied for large roughness value  
788 with the same accuracy as their experiments. In this study, however, the assumption of  
789  $k_b \ll \delta \ll A_b$  is valid, due to large values of  $A_b/k_b$ , so the wave boundary layer suggested  
790 by the two models indeed exists. The bottom roughness experienced by waves is therefore

791 directly obtained from log-profile fitting the RMS wave velocity. Comparing to MM's  
792 work, this work, together with YM14 and YM15, give a direct experimental validation of  
793 the GM and M94 models. For field conditions with a moveable seabed, large values of  
794  $A_b/k_b$  occur in the sheet-flow regime, while low values of  $A_b/k_b$  occur in the ripple-bed  
795 regime. Thus, the two groups of experimental work to some extent separately validate  
796 the GM and M94 models for these two regimes of moveable bed.

797 This study is directly aimed at investigating the basic wave-current interaction under  
798 irregular waves, so the flow conditions closely follow the approximations of M94 model,  
799 i.e. longitudinal flow homogeneity and no nonlinear feature in the free-stream wave veloc-  
800 ity. Therefore, the effect of both progressive-wave streaming and turbulence-asymmetry  
801 streaming are excluded in this study. Also, all experiments have collinear waves and  
802 currents, while some evidences suggest that the GM model, which is embedded in the  
803 M94 model, may not work so well for waves and currents at an angle as for collinear  
804 wave-current flows. Nevertheless, this study provides a foundation for future research on  
805 the effect of these limitations. The most straightforward extension to this work is adding  
806 some features of wave nonlinearity, i.e. skewness in velocity or acceleration, to the ir-  
807 regular oscillatory flows. In fact, we have done preliminary studies and indeed observed  
808 the turbulence-asymmetry streaming under irregular waves, and more investigations are  
809 underway.

## Appendix A: Improved Grant-Madsen Model for periodic wave-current boundary layer

810 The original GM model, which is embedded in M94, adopts a discontinuous bi-linear  
811 structure for turbulent eddy viscosity  $\nu_T$  with a rather arbitrarily-defined transition level

812  $\delta_{cw}$ . Meanwhile, the wave boundary layer is solved with only considering the lowest layer  
 813 of  $\nu_T$ , i.e.  $\nu_T = \kappa u_{*cw} z$ , so the obtained wave friction factor (or wave bottom shear stress)  
 814 may be inaccurate. *Humbyrd* [2012] modified the GM model by adopting a three-layer  
 815 continuous structure for  $\nu_T$ :

$$816 \quad \nu_T = \begin{cases} \kappa u_{*cw} z & z_0 < z \leq \delta_t \\ \kappa u_{*cw} \delta_t & \delta_t < z \leq \delta_{ct} \\ \kappa u_{*c} z & \delta_{ct} \leq z \end{cases} \quad (\text{A1})$$

817 Inside the wave boundary layer,  $\nu_T$  is scaled with the wave-current shear velocity  $u_{*cw}$   
 818 based on the maximum bottom shear stress, and has a linear-constant structure, which  
 819 gives the lowest two layers. The first transition level  $\delta_t$  is taken as 1/6 of the wave  
 820 boundary layer thickness:  $\delta_t = \delta_w/6$ , where  $\delta_w$  is defined as the level where the wave  
 821 velocity deficit reaches 5% of the free-stream value and is obtained by iteratively solving  
 822 the wave equation. *Humbyrd* [2012] provided an approximate explicit formula for  $\delta_w$ :

$$823 \quad \frac{\delta_w}{l} = \exp \left\{ a \left( C_\mu \frac{A_{bm}}{k_b} \right)^b + c \right\} \quad (\text{A2})$$

824 where  $l = \kappa u_{*cw} / \omega$  is a characteristic wave boundary layer scale and:

$$825 \quad C_\mu = (1 - \alpha^2)^{-1} \quad (\text{A3})$$

826 with  $\alpha = u_{*c} / u_{*cw}$ . The parameters,  $a$ ,  $b$ , and  $c$  are also given by explicit formulas of  
 827  $\alpha$ , which are provided in YM15. The second transition level is where the turbulent eddy  
 828 viscosity  $\nu_T = \kappa u_{*c} z$  intersects with  $\nu_T = \kappa u_{*m} \delta_t$ :

$$829 \quad \delta_{ct} = \frac{1}{6} \frac{u_{*cw}}{u_{*c}} \delta_w \quad (\text{A4})$$

830 With this three-layer structure for  $\nu_T$ , the predicted current velocity profile is two log-  
 831 arithmic profiles connected by a smooth transition. To translate this into the simple  
 832 two-log-profile structure suggested by the original GM model, the intersection of the top



833 and bottom logarithmic profiles is obtained, which can be expressed as:

$$834 \quad \frac{\delta_{cw}}{l} = \frac{\delta_w}{16.3} \alpha^{\left(\frac{1}{\alpha-1}\right)} / l = f(\alpha) \quad (\text{A5})$$

835 Thus, the original GM model can be simply improved by adopting this as the transition  
836 level for the bi-linear turbulent eddy viscosity.

837 Unlike the GM and M94 models, *Humbyrd* [2012] solved the wave equation with the  
838 entire vertical structure of turbulent eddy viscosity, so the effect of currents on waves are  
839 captured more precisely and the predicted wave bottom shear stress is more accurate. The  
840 reader is referred to YM15 for model performances for periodic wave-current boundary  
841 layers.

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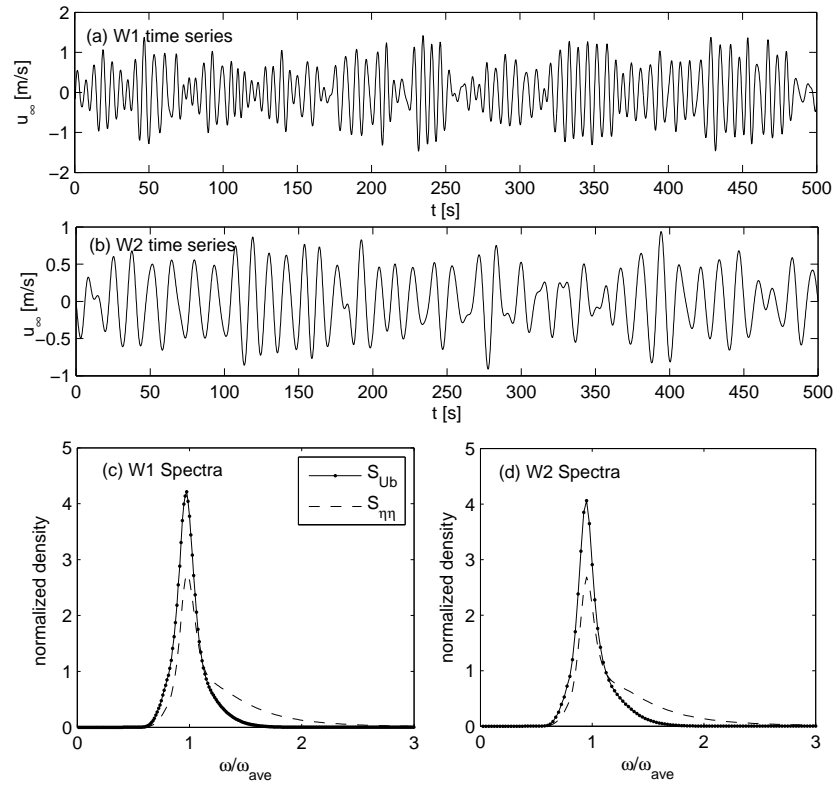
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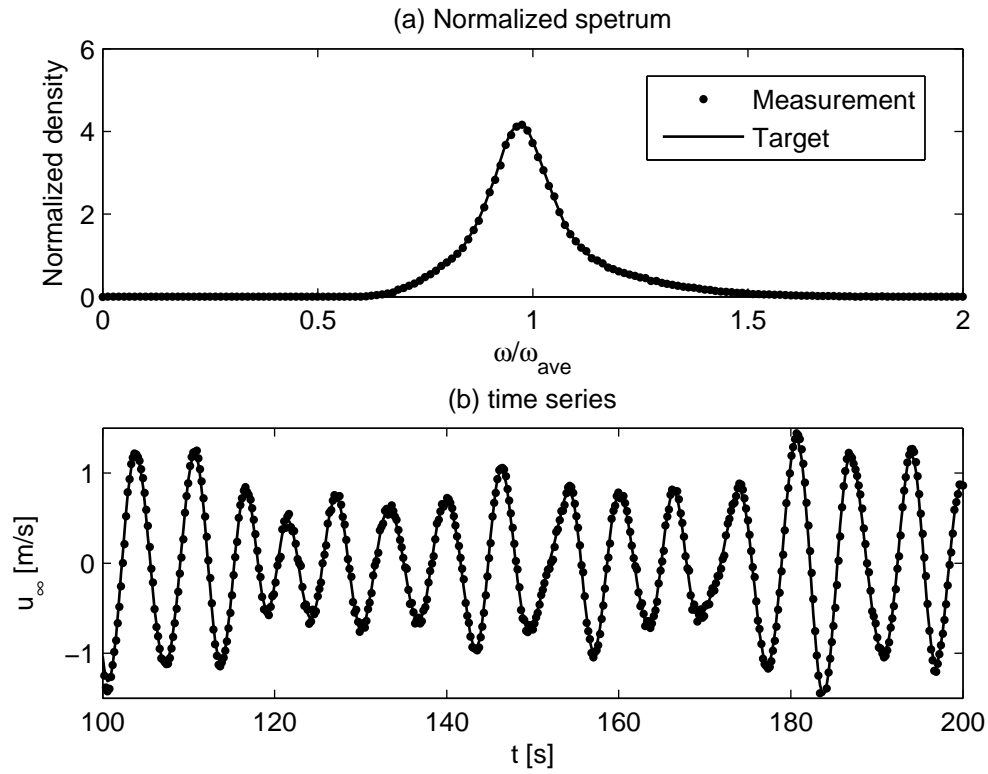
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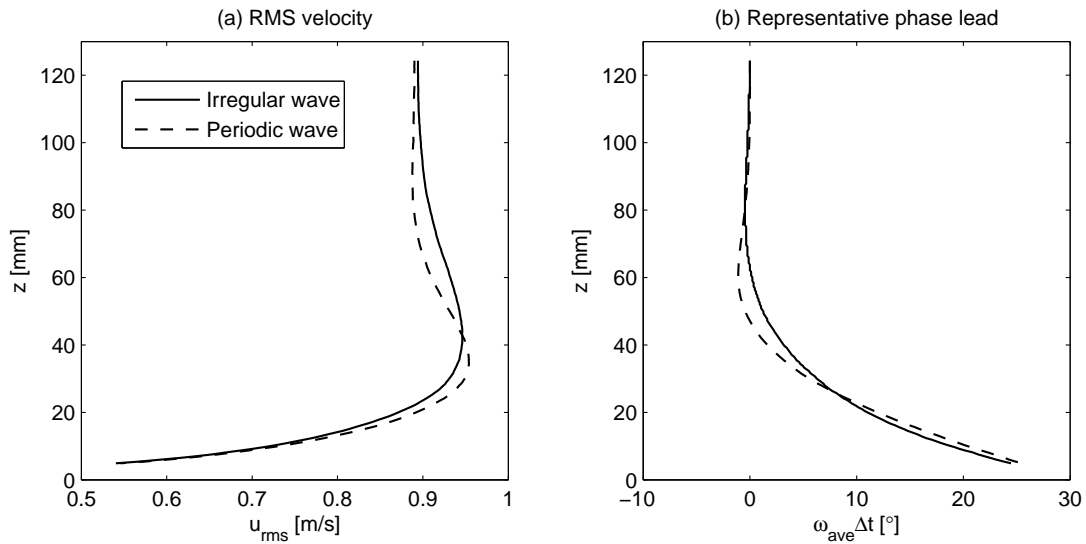
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**Figure 1.** Target wave conditions (a) time series of W1, (b) time series of W2, (c) normalized spectra of W1, (d) normalized spectra of W2.

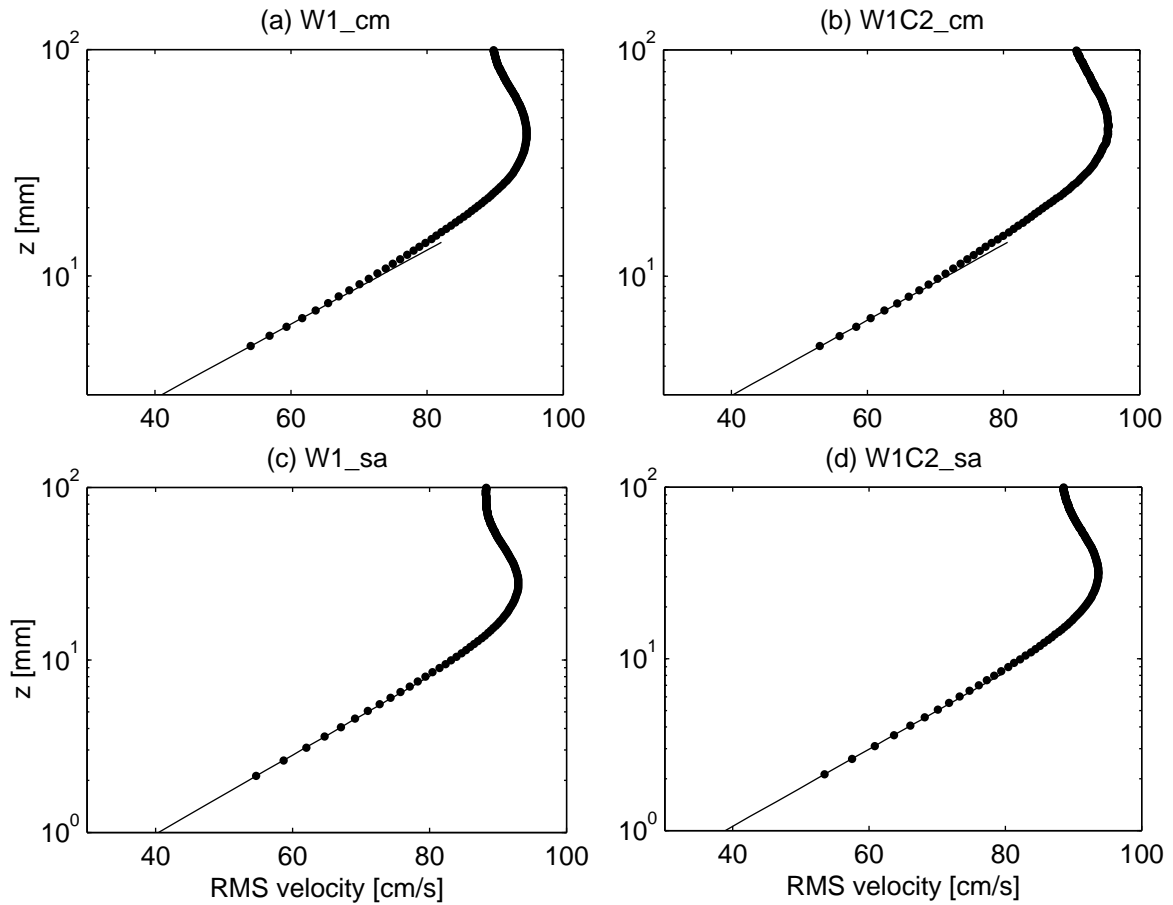


**Figure 2.** PIV measurements of free-stream velocity for test W1\_sa: (a) normalized spectrum, (b) a segment of time series (dots: measurements, solid lines: targets).

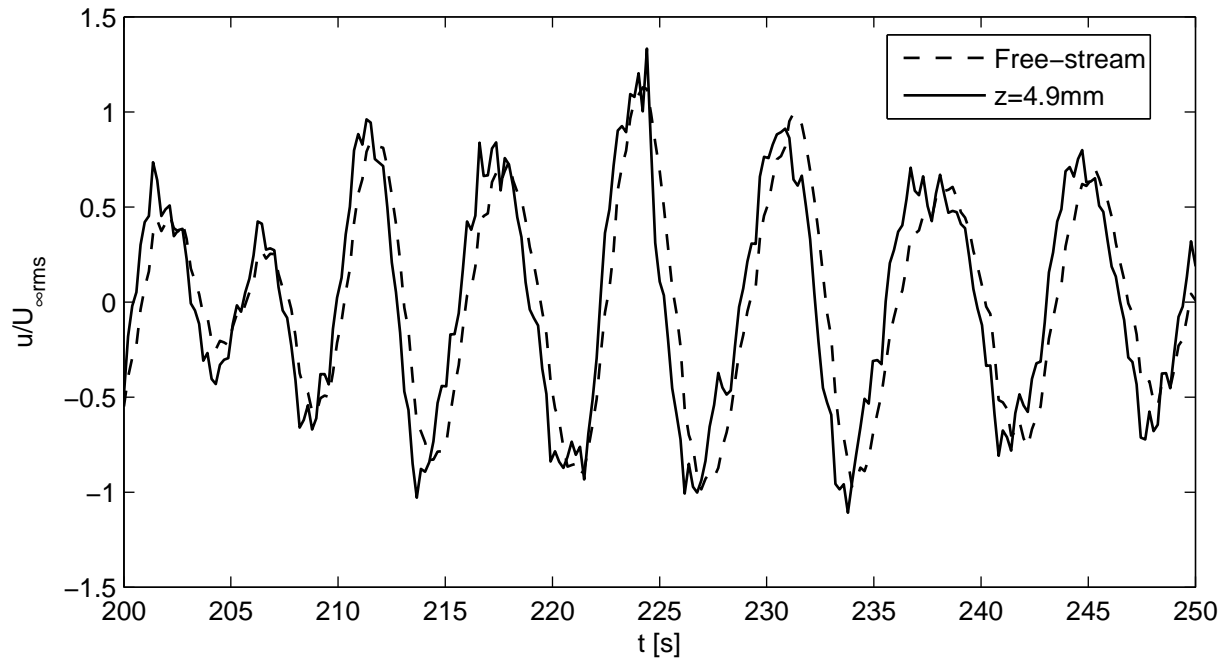


**Figure 3.** RMS wave velocity and representative phase lead of wave velocity given by correlation analysis for test W1\_cm (the dashed lines are the measured amplitude and phase of first-harmonic velocity from the equivalent-wave test)

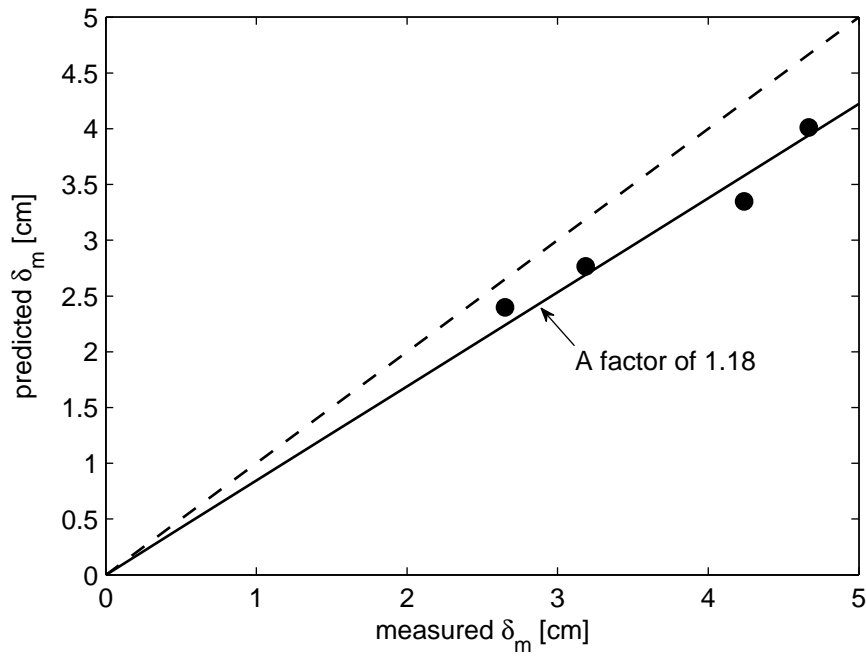




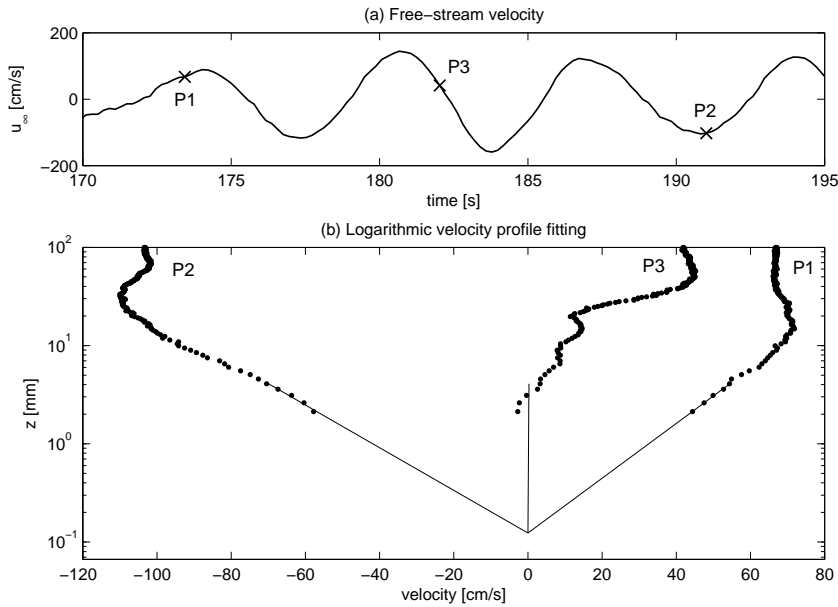
**Figure 4.** Typical measured RMS velocity profiles and log-profile fittings: (all tests are with the same wave conditions, W1, with target  $U_{\infty,rms} = 0.85$  m/s and  $T_{ave} = 6.25$  s, the currents in (b) and (d) has an average velocity at about 30cm/s)



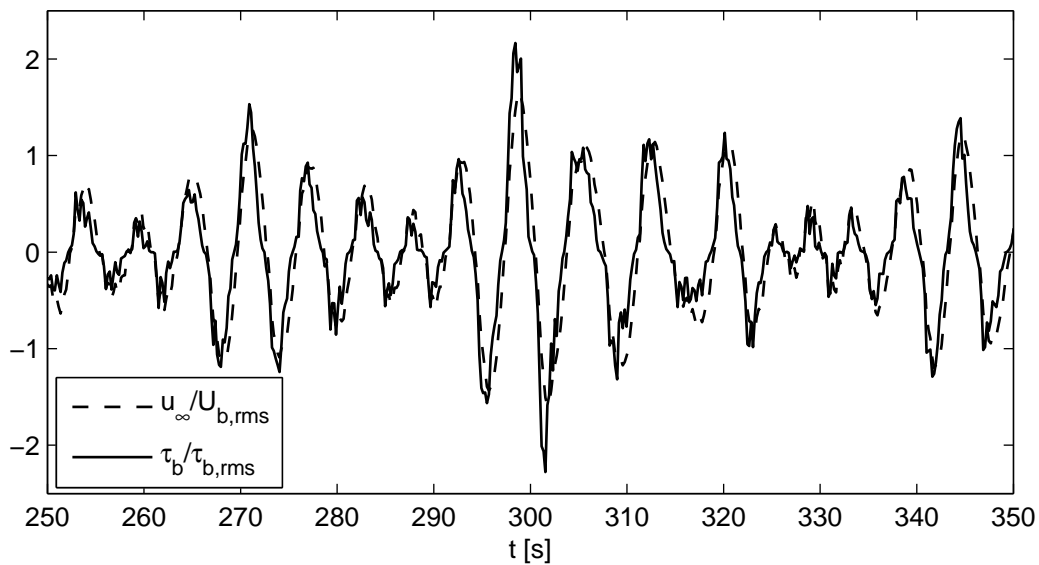
**Figure 5.** Phase-lead of near-bottom velocity for test W1\_cm (solid line: measured velocity at the bottom-most level  $z = 4.9$  mm, dashed line: free-stream velocity. The measurements are normalized by their corresponding RMS wave velocities for easy comparison).



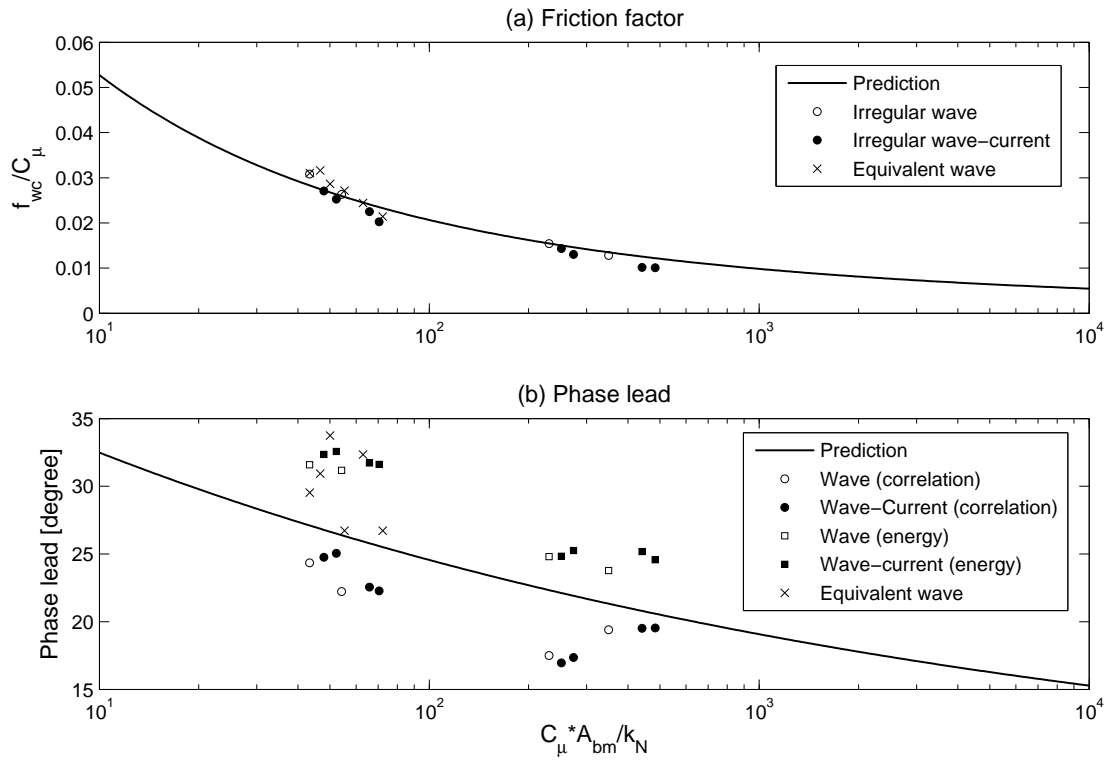
**Figure 6.** Characteristic boundary layer thickness of irregular wave boundary layers (the dashed line indicates perfect agreement, and solid line is least-square fit to data, of which the slope indicates the overall agreement with measurements.)



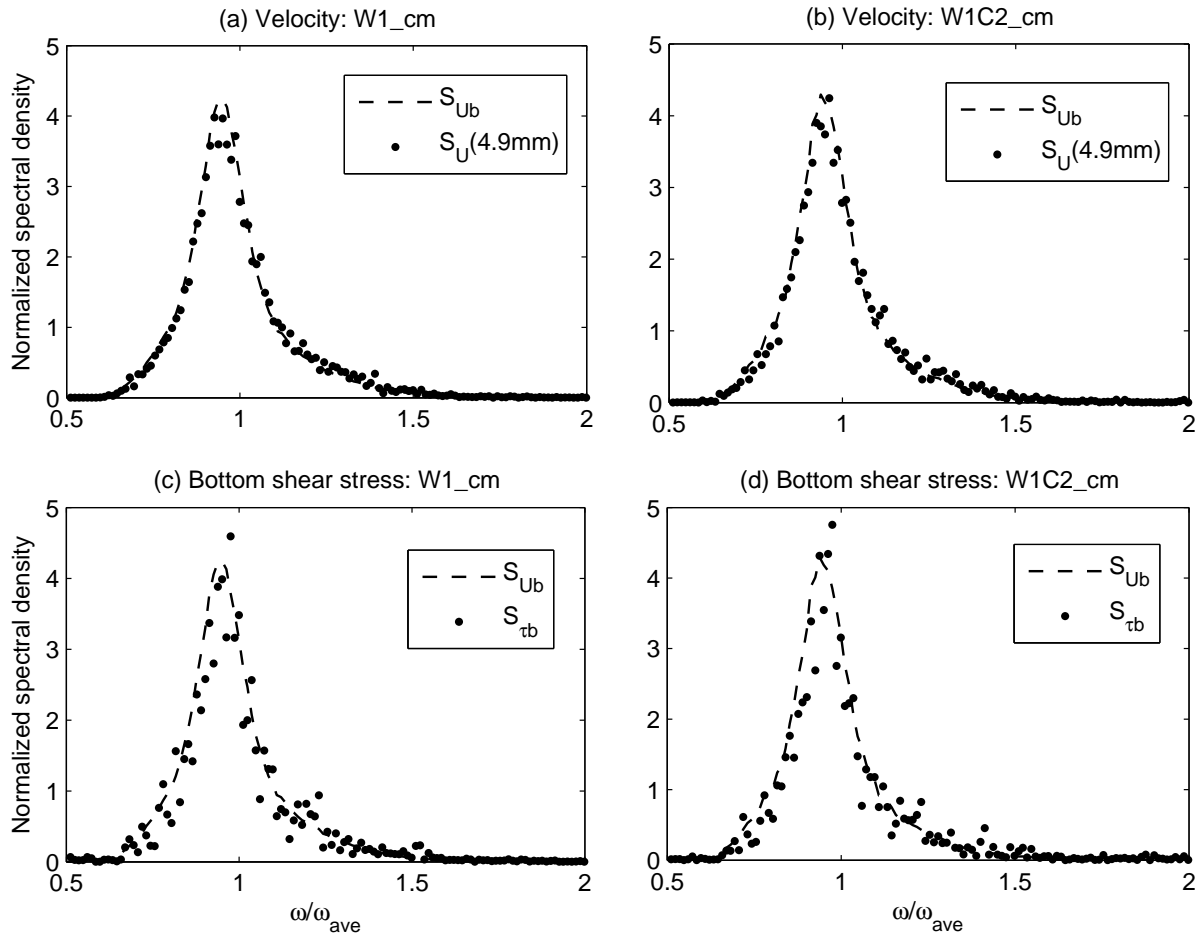
**Figure 7.** Modified log-profile fitting to the instantaneous velocity profile in the very near-bottom region for test W1\_sa ( $k_N = 3.7$  mm): (a) free-stream velocity, (b) modified log-profile fittings for three representative instantaneous velocity profiles (solid lines are the fitted logarithmic profiles based on the bottom-most five data points)



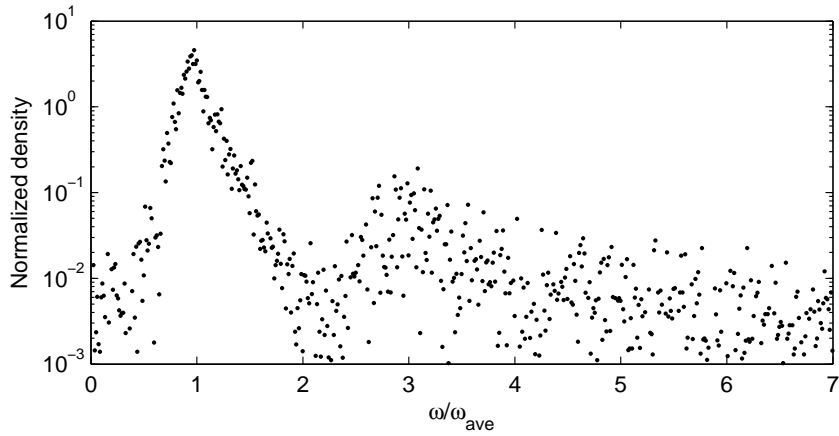
**Figure 8.** Normalized instantaneous bottom shear stress for test W1\_sa



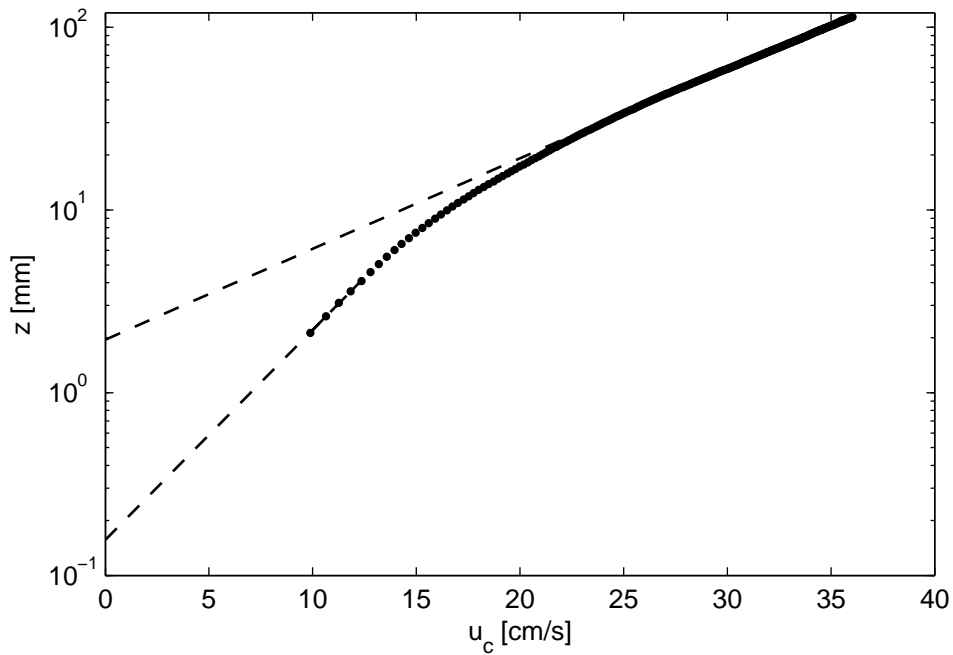
**Figure 9.** Wave friction factor and phase lead of wave bottom shear stress: (a) wave friction factor, (b) representative phase lead



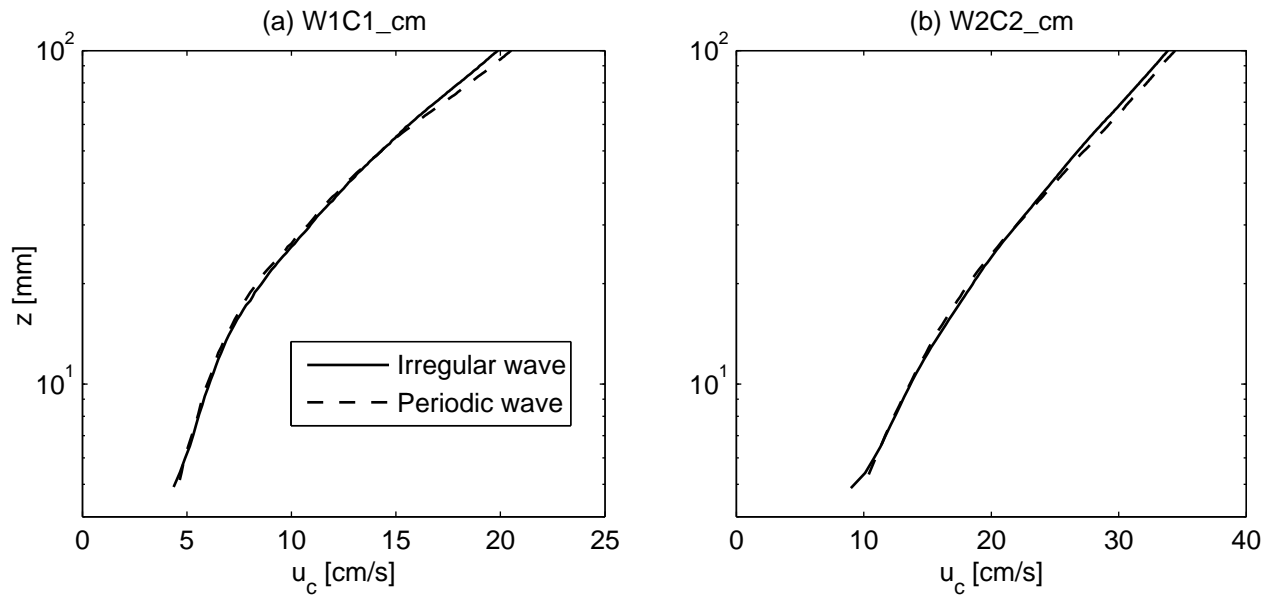
**Figure 10.** Normalized spectra for near-bottom ( $z = 4.9$  mm) velocities and bottom shear stress: (a) near-bottom velocity of wave-alone test W1\_cm, (b) near-bottom velocity of wave-current test W1C2\_cm, (c) bottom shear stress of wave-alone test W1\_cm, (d) bottom shear stress of wave-current test W1C2\_cm



**Figure 11.** High-frequency part of the spectrum of bottom shear stress for test W1.cm

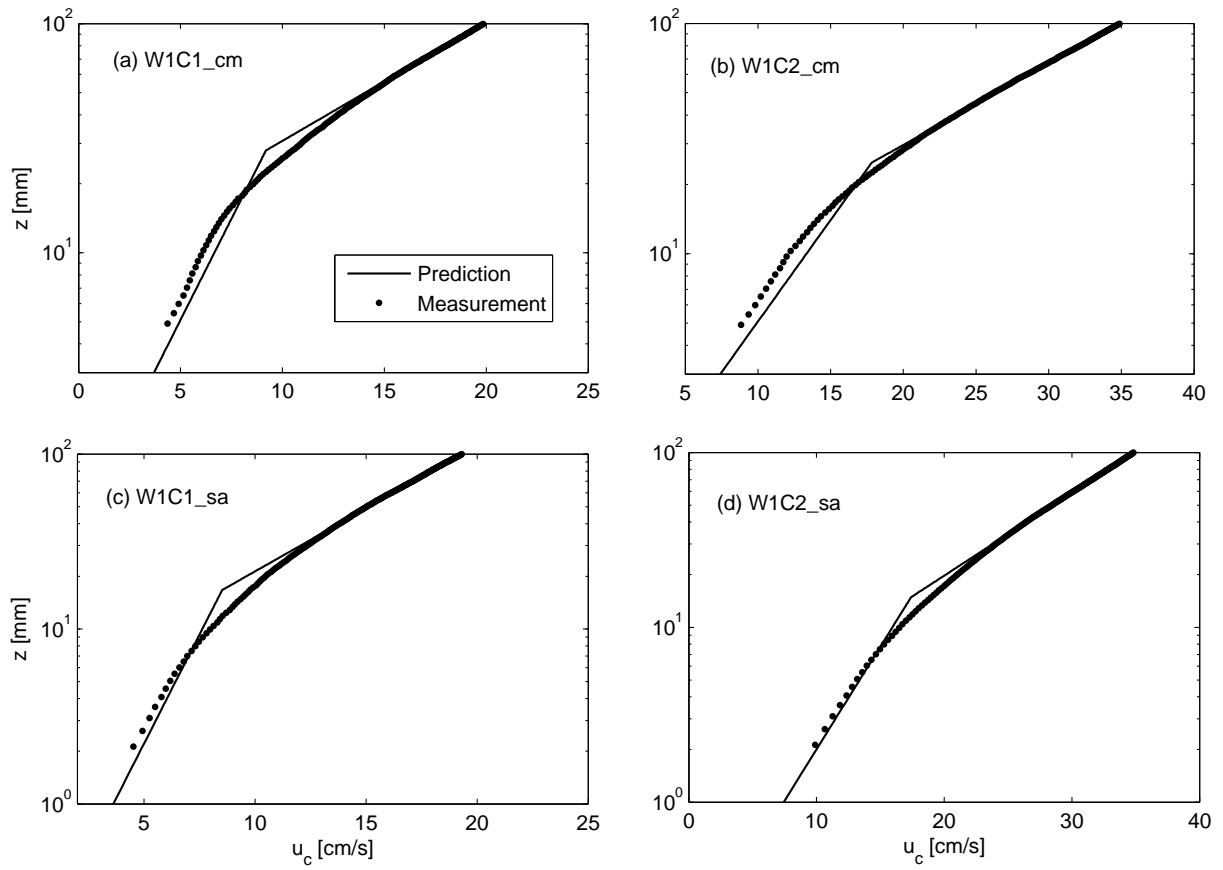


**Figure 12.** Typical current velocity profile in the presence of irregular waves and fitted logarithmic profiles (test W1C2\_sa)

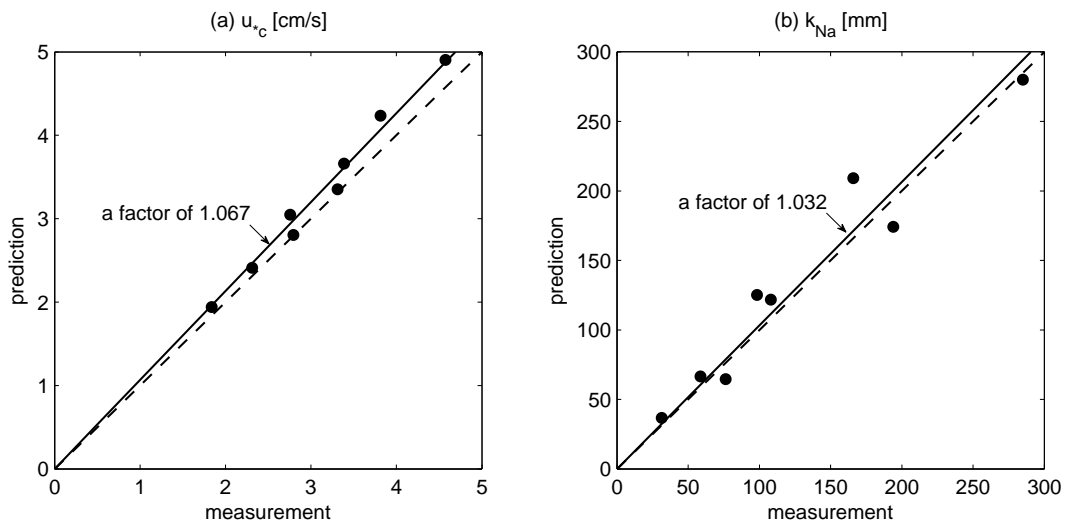


**Figure 13.** Comparisons of currents in the presence of irregular waves and their equivalent periodic waves: (a) test W1C1\_cm, (b) test W2C2\_cm (solid lines: current profile with irregular waves, dashed lines: current profile with equivalent periodic waves).





**Figure 14.** Prediction of current velocity profiles in the presence of irregular waves (dots: measurements, solid lines: predictions).



**Figure 15.** Model validation for current shear velocity and apparent roughness: (a) current shear velocity (b) apparent roughness. The dashed lines indicate perfect agreement, and solid lines are least-square fit to data, of which the slope indicates the overall agreement with measurements.

**Table 1.** key parameters for the spectra of conceptual surface irregular waves and the associated bottom wave velocities<sup>a</sup>

	Surface wave			Free-stream velocity				
	$h$ [m]	$H_{rms}$ [m]	$T_p$ [s]	$U_{\infty,rms}$ [m/s]	$T_{ave}$ [s]	$Re$	$R_u$	$R_a$
W1	12	3.84	6.46	0.85	6.25	$9.0 \cdot 10^5$	0.50	0.50
W2	40	3.98	13.30	0.55	12.50	$7.5 \cdot 10^5$	0.50	0.51

<sup>a</sup>  $h$ : water depth,  $H_{rms}$ : RMS wave height,  $T_p$ : peak period corresponding the peak radian frequency of wave spectrum,  $U_{\infty,rms}$ : RMS free-stream wave velocity,  $T_{ave}$ : average wave period corresponding to the average radian frequency of free-stream velocity spectrum,  $Re$ : Reynolds number,  $R_u$  and  $R_a$ : parameters indicating velocity-skewness and acceleration skewness.

**Table 2.** Summary of tests <sup>a</sup>

ID	$U_{\infty,rms}$ [cm/s]	$T_{ave}$ [s]	$u_c, @z_r$ [cm/s]	$u_{*w}$ [cm/s]	$u_{*c}$ [cm/s]	$k_b$ [mm]	$Re_*$
Irregular-wave tests							
W1_sa	88.36	6.07		7.77		3.6	359.2
W2_sa	56.44	11.85		4.52		3.0	209.1
W1C1_sa	88.55	6.06	20.04	7.83	2.31	3.8	377.5
W1C2_sa	88.56	6.04	36.05	7.79	3.39	4.0	392.7
W2C1_sa	56.49	11.66	19.25	4.35	1.84	2.6	218.4
W2C2_sa	56.57	11.57	34.64	4.68	2.76	3.2	251.1
W1_cm	89.43	6.09		11.10		19.2	2774
W2_cm	57.12	11.93		6.55		20.2	1638
W1C1_cm	89.62	6.11	21.21	10.94	3.31	21.9	2858
W1C2_cm	89.86	6.09	37.29	11.06	4.57	19.2	2992
W2C1_cm	57.62	11.97	20.07	6.69	2.80	18.8	1813
W2C2_cm	58.00	11.74	35.78	6.65	3.81	20.3	1915
Equivalent-wave tests							
EW1_cm	89.00	6.06		11.03		19.40	2774
EW2_cm	58.04	11.76		6.75		19.68	1703
EW1C1_cm	88.85	6.06	21.95	11.72	3.50	17.41	3057
EW1C2_cm	89.03	6.06	39.09	11.65	4.67	19.89	3137
EW2C1_cm	57.99	11.76	20.95	6.96	2.77	19.89	1874
EW2C2_cm	58.15	11.76	36.59	6.94	3.97	19.48	1998

<sup>a</sup>  $U_{\infty,rms}$ : measured RMS free-stream wave velocity,  $T_{ave}$ : measured average period of free-stream velocity,  $u_c$ : reference current velocity measured at the reference level  $z_r = 10$  cm,  $u_{*c}$  and  $u_{*w}$ : current and wave shear velocities obtained from measured bottom shear stress,  $k_b$ : bottom roughness,  $Re_* = u_* k_N / \nu$ : roughness Reynolds number

**Table 3.** Log-profile fitting for RMS wave velocity profiles <sup>a</sup>

Test ID	$1-R^2$	$k_b$ [mm]	$r_{\Delta k}$	$u_*$ [cm/s]	$\Delta u_*/u_*$
W1_cm	$1.7 \cdot 10^{-4}$	19.19	1.05	10.62	2.37%
W1_sa	$1.9 \cdot 10^{-4}$	3.58	1.08	7.60	2.52%
W1C2_cm	$6.2 \cdot 10^{-4}$	19.16	1.11	10.42	4.59%
W1C2_sa	$4.9 \cdot 10^{-5}$	4.02	1.04	7.74	1.01%

<sup>a</sup>  $1-R^2$ : coefficient of determination,  $u_*$ : fitted shear velocity,  $\pm\Delta u_*/u_*$ : relative 95% confidence interval of  $u_*$ ,  $k_b$ : fitted bottom roughness,  $r_{\Delta k}$ : 95% confidence factor of  $k_b$

**Table 4.** Log-profile fitting of the current velocity profile and RMS wave velocity profile forTest W1C2\_sa <sup>a</sup>

	$1-R^2$	$k_b$ [mm]	$r_{\Delta k}$	$u_*$ [cm/s]	$\Delta u_*/u_*$
lower profile	$6.3 \cdot 10^{-4}$	4.70	1.15	1.51	4.6%
upper profile	$8.6 \cdot 10^{-4}$	58.59	1.03	3.50	1.0%
RMS wave velocity	$4.9 \cdot 10^{-5}$	4.02	1.04	7.74	1.0%

<sup>a</sup> see the footnote of Table 3 for the definition of variables.